## Oncology and Translational Medicine

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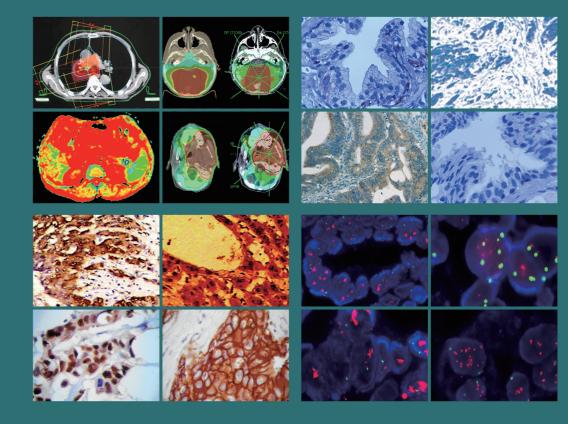
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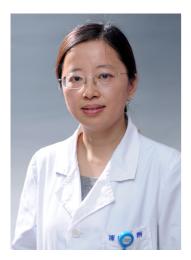
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#### EDITORIAL

## **Progress of anti-checkpoint therapy in metastatic colorectal cancer\***

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**Ying Yuan**, Professor, Department of Medical Oncology, The Second Affiliated Hospital of Zhejiang University School of Medicine, Hangzhou, Zhejiang Province, P. R. China. Prof. Yuan has focused on the clinical practice and research on personalized therapy of gastrointestinal cancer, especially of colorectal cancer. Prof. Yuan is also interested in the scientific research. She has acquired several grants and published 99 papers, including 42 SCI papers. Besides, she has achieved a few honors and awards, such as "The Southern California Society of Colorectal Surgeons Award", "Scientific Achievement Award of Zhejiang Province", "National Science and Technology Progress Award", and so on. Her current academic society memberships include: member and director of genetics group, colorectal cancer professional committee of Chinese Society of Clinical Oncology; member of clinical trial committee and molecular targeted drugs committee of Chinese Society of Clinical Oncology; core expert of gastrointestinal cancer rational drug use commission, National Health and Family Planning Commission; expert of colorectal cancer diagnostic and treatment practices group, National Health and Family Planning Commission; permanent member and secretary of colorectal cancer MDT management board, National Health and Family Planning Commission.

In the immune system, antigen-presenting cells, especially dendritic cells, process tumor antigens and present them to anti-tumor CD8+ cytotoxic T cells and CD4+ helper T cells, thereby promoting the proliferation and activity of these cells to kill tumor cells.

When binding to ligands, some receptors on the T cell surface, such as CD28, OX40, GITR, and CD27, can activate T cells and promote their anti-tumor effects. Conversely, some other receptors can suppress T cell activation when binding to their ligands. This results in inhibition of T cell proliferation and cytokine secretion to repress the tumor cell-killing function, and therefore maintain immune homeostasis. These receptors are collectively referred to as immune checkpoints, including cytotoxic T-lymphocyte-associated protein 4 (CTLA-4), programmed cell death protein 1 (PD-1), T-cell immu-

noglobulin and mucin-domain containing 3 (TIM-3) and lymphocyte-activation gene 3 (LAG-3)<sup>[1]</sup>.

Tumor cells usually express ligands of the checkpoints at high levels to suppress the anti-tumor activity of T cells. Therefore, the discovery of drugs that can target these receptors or their ligands to attenuate their effect on T cells would reverse T cell inhibition and help to restore their anti-tumor activity. In recent years, certain anti-checkpoint receptors or ligands, such as anti-CTLA-4, anti-PD-1 and anti-programmed cell death protein ligand 1 (anti-PD-L1) have shown dramatic responses in some patients with different types of cancers.

In a phase II study conducted by Le DT *et al*, pembrolizumab (an anti-PD-1 drug) showed a great benefit to patients with mismatch repair-deficient (dMMR) metastatic colorectal cancer (mCRC). The immune-related ob-

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jective response rate (ORR) and immune-related progression-free survival (PFS) rate were 40% (4/10 patients) and 78% (7/9 patients), respectively, in patients with dMMR mCRC, whereas the ORR was 0% (0/18 patients) and the PFS was 11% (2/18 patients) in patients with mismatch repair-proficient (pMMR) mCRC<sup>[2]</sup>. The most recent data of this study were presented at the 2016 ASCO meeting, showing that after a median of 9.3 months of follow-up, the ORR was 57% (including 11% showing complete regression and 46% showing partial regression) for patients with dMMR mCRC, whereas the ORR remained at 0 for patients with pMMR mCRC after a median of 6 months follow-up. Interestingly, whole-exome sequencing suggested a mean of 1782 somatic mutations per tumor in dMMR tumors, but only 73 in pMMR tumors. A greater total mutation load would result in more tumor neoantigens, which would increase the activity of tumor-specific T cells to attack. Since anti-PD-1 therapy can promote T cell functions, these results suggest that dMMR mCRC patients would benefit more from anti-PD-1 therapy.

In addition, in a group of treatment-experienced patients with chemo-refractory mCRC, the combination of cobimetinib (an anti-MEK drug) and atezolizumab (an anti-PD-L1 drug) showed promising results, with an ORR of 17% and 6-month overall survival of 72%. Notably, 96% of the patients harbored *KRAS* mutations. The inhibition of MEK leads to upregulation of MHCI in tumor cells, causing intratumoral T cell infiltration, thereby enhancing anti-PD-L1 activity.

Although ipilimumab (an anti-CTLA-4 drug) has substantially improved the outcome of patients with melanoma, patients with mCRC cannot benefit from treatment with either ipilimumab or tremelimumab (another anti-CTLA-4 drug) alone [3-4]. At the 2016 ASCO meeting, Michael Overma demonstrated that the combination of nivolumab and ipilimumab had tolerable safety profiles and showed preliminary positive results, with an ORR of 33.3% for patients with microsatellite instability-high (MSI-H) CRC. Nivolumab monotherapy also demonstrated encouraging results in patients with MSI-H in this same study (ORR 25.5%). Notably, owing to the small sample, the results could not conclusively determine whether the combination of nivolumab and ipilimumab is superior to nivolumab monotherapy, and could also not indicate whether nivolumab is less effective than pembrolizumab.

In particular, in a phase II study of nivolumab in refractory metastatic squamous cell carcinoma of the anal canal (SCCA), among 37 patients who received at least one dose of nivolumab, 2 (5.4%) achieved complete regression and 7 (18.9%) achieved partial regression, and the median PFS was 3.9 months. Nivolumab was well-tolerated in these patients. Since there is currently no consensus treatment approach for SCCA, the results of these studies may offer some promise for these patients. SCCA is mainly driven by immune evasion of human papillomavirus (HPV)-specific CD8 and CD4 T cells; however, it remains unknown whether HPV infection is a predictor of the anti-PD-1 or anti-PD-L1 response, because pembrolizumab and duravalumab (an anti-PD-L1 drug) showed responses in cases of both HPV+ and HPV- squamous cell carcinoma of the head and neck.

In addition to nivolumab, pembrolizumab, atezolizumab, ipilimumab and tremelimumab, there are other drugs targeting PD-1, PD-L1 or CTLA-4 currently undergoing clinical trials. Moreover, clinical trials of drugs targeting other receptors or ligands related to the immune system are also actively recruiting patients, such as drugs targeting LAG-3.

In general, clinical trials of anti-PD-1 or anti-PD-L1 therapy have thus far revealed encouraging responses and safety profiles for patients with mCRC and SCCA, and the combination of anti-PD-1 and anti-CTLA-4 therapy may be tolerable, with promising preliminary responses. In addition, the combination of anti-MEK and anti-PD-L1 may be a potential therapy for *KRAS* mutation-positive mCRC. Moreover, some studies are focusing on the value of anti-checkpoint treatment in combination or in comparison with chemotherapy. Nevertheless, close attention must be paid to the data emerging from more studies.

#### **Conflicts of interest**

The authors indicated no potential conflicts of interest.

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#### REVIEW ARTICLE

### Molecular subtypes of colorectal cancer: Evaluation of outcomes and treatment

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Abstract	Colorectal cancer (CRC) is a biologically heterogeneous disease with diverse clinical outcomes and re- sponses to treatment. In the past two to three decades, a major effort has focused on classifying colorectal cancer subtypes based on causation, etiology, gene expression profiles, different pathways, and trans- lational data from clinical trials. The goal is to uncover prognostic and predictive factors for outcomes in patients with colorectal cancer and to guide therapeutic approaches and management for the improvement of overall survival. Significant advances have been achieved in this area. However, tremendous work is still needed to accomplish the goal of better understanding intratumoral heterogeneity and the influence of the
Revised: 9 July 2016	colonic environment, among other facets of colorectal cancer.
Accepted: 25 July 2016	Key words: colorectal cancer (CRC); molecular subtype; evaluation

Colorectal cancer (CRC) is the third most common cancer in the United States and the world (95 300 new cases in the United States and 1.4 million worldwide in 2016) <sup>[1, 2]</sup>. Patient survival and treatment options are still largely dependent on TNM stage at the time of diagnosis, even though we know colorectal cancer is a biologically heterogeneous disease that develops via distinct pathways involving alternative combinations of genetic and epigenetic factors <sup>[3]</sup>. Subtypes of colorectal cancer based on molecular and pathway profiles with defined prognostic markers would predict individual patient outcomes more precisely and therefore better inform on appropriate therapeutic intervention, especially targeted therapy. Various methods have been attempted and different directions taken to achieve this goal. Some approaches are as simple as focusing on the implication of defects in a single oncogene or tumor suppressor gene or assessing the consequences of a limited combination of gene mutations <sup>[4]</sup>. Other approaches are based on morphological characteristics, clinical and molecular features [5], or gene expression-based data classification [6].

To date, the microsatellite instability (MSI)-H phenotype has demonstrated the most robust prognostic role in terms of improved survival in stages II and III CRC patients <sup>[7]</sup>. Most single gene mutation markers have modest prognostic or predictive value, except Braf and Kras mutations. The Braf mutation (BRAF<sup>V600E</sup>) has been associated with poorer survival in CRC. Kras and Nras mutations are associated with resistance to epidermal growth factor receptor (EGFR) targeted therapy <sup>[4]</sup>.

'Pathway' based CRC subtype classification has been proposed because of the distinctive association of 'serrated polyps/adenocarcinoma' with MSI-H, CpG island methylation phenotype (CIMP) with Braf mutation; as well as the predictive and potential prognostic value of Kras<sup>[5]</sup>. At the same time, it has been also been learned that as a somatic genetic disease that is generally sporadic in nature, pathogenesis is influenced by the local colonic environment as well as the genetic background of the individual patient.

There have been many attempts to find consensus in classification of subtypes of CRC based on causation, etiology, gene expression profiles, different pathways, and translational data from clinical trials. Such efforts are geared towards revealing prognostic and predictive factors for patient outcomes and to guide therapeutic approaches and management to eventually improve overall survival. However, no universal subclassification has been agreed upon because of the various views and opinions of different groups of investigators and experts <sup>[6, 8–11]</sup>. Overall,

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the proposed models are similar and based on the types and frequency of genetic alterations, epigenetic modifications, and molecular pathways.

#### Genetic alterations and epigenetic modifications

Whole genome sequencing has confirmed research findings from the past three decades on the genetic and epigenetic abnormalities underlying CRCs. CRCs are formed through the accumulation of genetic and epigenetic events, which include gain-of-function defects as well as loss-of-function defects of selected tumor suppressor genes. It is suggested that approximately 25 different genes are commonly affected by somatic mutations in CRCs, with tumor suppressor genes outnumbering oncogenes by about four to one [9, 12]. Events conferring growth advantage are considered 'driver' mutations and the remaining mutations are called 'passenger' mutations that are the result of genomic chaos and random events with no clear effects on the disease process. Only two to eight driver gene alterations are found in a typical sporadic CRC. It is important to know that driver mutations in one CRC patient may differ from those in another patient <sup>[9, 13]</sup>. Additional genetic and epigenetic events are acquired in progeny cells beyond those inherited from parental cells.

Based on the commonalities among CRCs, the disease has been grouped into hypermutated (approximately 16% of sporadic CRCs) with mutation rates of > 12 per 10<sup>6</sup> bases and nonhypermutated (approximately 84% of sporadic CRCs) with mutation rates of < 8.24 per 10<sup>6</sup> bases based on TCGA data <sup>[9]</sup>. The median number of non-silent mutations is 728 in tumors of the hypermutated group compared to 58 in tumors of the nonhypermutated group. The etiology for hypermutated tumors is driven largely by the presence of MSI-H and CIMP resulting from defects in the MMR (DNA mismatch repair, MMR-D) genes hMSH6, hMSH2, hMSH3, and, hMLH3, as well as POLE (DNA polymerase  $\varepsilon$ ). Hypermethylation of the hMLH1 promoter should also be noted. Most hypermutated CRCs have mutations in genes that contain intrinsic coding microsatellites. Nonhypermutated CRCs are more frequently associated with somatic copy-number alterations with more chromosomal or subchromosomal changes including either gains (1q, 7p and q, 8p and q, 12q, 13q, 19q, and 20p and q) or deletions (18p and q: 66% with SMD4; 17p and q: 56% with TP53; 1p, 4q, 5q, 8p, 14q, 15q, 20p, and 22q). Chromosomal region 10p25.2 is commonly involved (FHIT, RBFOX1, WWOX, SMAD4, APC, PTEn, SMAD3, and TCF7L2) as well as segment amplifications (USP12, CDK8, KLF5, HNF4A, WHSC1L1, MYC, ERBB2, and IGF2) [9].

Although hypermutated and nonhypermutated CRCs

progress through different sequences of genetic events, there is some overlap of affected pathways. For example, APC is mutated in both groups, consistent with its role as a gatekeeper mutation. Alterations of MYC transcriptional targets are also noticed in both groups. Consistent activation of Wnt, RAS, PI3K signaling, inactivation of TGF $\beta$  signaling, and inactivation of TP53 function are demonstrated in both groups <sup>[9, 13]</sup>.

Besides the direct genetic and epigenetic analysis of CRC tissue, single nucleotide polymorphisms (SNPs) from blood of CRC patients have also been examined as potential biomarkers. However, these genome-wide association studies are unable to determine the cause or mechanism of tumor initiation, progression, and/or metastasis. MicroRNA (miR) mutations and polymorphisms may also have profound effects on tumor behavior and offers potential therapeutic options <sup>[14]</sup>.

#### Molecular pathways

The simplified model of normal-adenoma-carcinomametastasis sequence has been established and accepted by investigators for many years in understanding and evaluating CRC initiation and processing. With recent molecular biology analysis, various molecular subtypes have been established and discussed <sup>[6, 8–11]</sup>. Although there is some disagreement among them, they are all based on three identified molecular pathways: CIN (chromosomal instability), MSI-H (microsatellite instability-high), and CIMP (CpG Island Methylator Phenotype). These molecular pathways may dictate the timing and process of tumor initiation, progression, and metastasis with distinguishes in epidemiology, mutational events, and immune response, therefore, treatment approaches could be vary as well.

The CIN pathway is affected in approximately 85% of CRC cases and is the most common and the first described molecular pathway in CRC. However, the mechanisms leading to CRC are still unclear but are thought to include extensive copy number of somatic mutations throughout the genome, which results in aneuploidy tumors with nonhypermutated adenomas [13, 15, 16]. Loss of APC and TP53 appears sufficient for generation of significant aneuploidy, particularly when additive with SMAD4 and mutant KRAS<sup>[17]</sup>. APC is a part of the Wnt signaling pathway, which regulates cytoplasmic levels of  $\beta$ -lactenin and is related to cellular proliferation as a tumor suppressor gene. Wnt signaling is deregulated in 93% of all nonhypermutated CRCs with APC being the most commonly mutated component (81%). KRAS mutation as the oncogenic activator is the next most common event. KRAS is a part of the ERBB/KRAS/BRAF/MAPK signaling axis. Mutant KRAS protein causes acceleration of tumor proliferation. In nonhypermutated CRCs, the prevalence

of KRAS mutation is 41% and overall active mutations of KRAS, NRAS, or BRAF is approximately 55% <sup>[9]</sup>. Clinical evidence demonstrated that patients with mutations in KRAS, NRAS, or BRAF have poorer outcomes compared to those with wild type genes. PIK3CA, the catalytic subunit of the mitogenic PI3K complex, controls levels of phosphatidylinositol triphosphate and is antagonized by PTEN. Mutations of PIK3CA are found in 18% of non-hypermutated CRCs. Alteration of these two pathways is found in about 33% of CRCs. Therefore, inhibiting both pathways simultaneously may be beneficial in clinic management of CRC <sup>[9]</sup>.

MSI-H is defined as > 30% of microsatellite markers demonstrating a frameshift mutation and is a biomarker for defective DNA MMR function in CRC<sup>[9]</sup>. DNA MMR recognizes and repairs nucleotide mismatches and mispairing during DNA replication. MSI-H is observed in approximately 15% of sporadic CRCs, consistent with the frequency of hypermutated CRCs. The defect in DNA MMR is caused by aberrant bi-allelic hypermethylation of the DNA MMR gene hMLH1 (for the most part), thereby preventing its transcription [18-20]. MSI-H CRCs accumulate mutations in driver genes with frameshift mutations and subsequently cause stop codons, which creates a truncated transcript and proteins that are neo-antigenic to the patients' immune system <sup>[21]</sup>. The other common feature of the MSI-H CRC pathway is an activating oncogenic mutation of BRAF (most commonly BRAF<sup>V600E</sup>) in about 40% of cases via this pathway <sup>[9]</sup>. CRC via the MSI-H pathway seems to have low copy number variation and tends to be diploid with fewer TP53 (20%) and ACP (51%) mutations compared to CIN-derived CRC. Both types have a similar frequency of Wnt deregulation. Histologically, MSI-H CRCs are more likely to be poorly differentiated, contain mucin, and possess subepithelial lymphoid aggregates and intraepithelial lymphocytes due to the immune response to truncated neo-antigens produced from the epithelium. MSI-H CRCs are more commonly (approximately 70%) located proximal to the splenic flexure <sup>[22, 23]</sup>. Recent analysis showed that CRCs with MSI-H pathway involvement are less frequent among African-American populations than in Caucasians or Asians, which may partially explain the poor outcomes of CRCs in African-Americans compared with in Caucasians stage-by-stage [24].

CIMP is defined by increased or excessive epigenetic methylation of genetic loci, which contains CpG islands typically located in the promoter and upstream regulatory regions of genes. The etiology of CIMP development is less definitive with several possible mechanisms or combinations of abnormalities including DNA methyltransferase overexpression, mutations in chromatin remodeling genes (e.g., *CHD8*), mutations in *IDH1* and *TET*, or environmental exposure (e.g., tobacco use) <sup>[22, 25-28]</sup>. Based on the number of markers (RUNX3, SoCS1, NEUROG1, CACNA1G, and IGF2) positive for methylation, CIMP can be further classified as 'high' ( $\geq 3$  markers of methylation) or 'low' (≤ 2 markers of methylation)<sup>[29]</sup>. CIMP CRCs overlap with MSI-H and CIN pathways. CIMP-H occurs as hypermutated tumors in approximately 20% of CRCs with BRAF mutation and hypermethylation of hMLH1 (for the most part). CIMP-H CRCs are most likely to manifest a serrated morphology, including sessile serrated adenomas and traditional serrated adenomas. CIMP-L occurs as nonhypermutated tumors in 20% of CRCs with some of them derived from traditional serrated adenomas with MSS and containing KRAS mutations. The CIMP pathway can be helpful for understanding pathogenesis of CRC. However, it does not appear to be a useful tool or biomarker clinically.

#### **Clinical evaluation**

CRC subtypes/subclassifications based on distinct histopathologic and molecular alterations, as well as involved pathways, may better predict patient outcomes and will likely advance effective drug development strategies.

Recently, two large studies with more than 2000 stage III CRC patients in each revealed new and important associations between molecular alterations and patient survival <sup>[30, 31]</sup>. One study prospectively collected samples from 2720 stage III patients participating in an adjuvant chemotherapy trial (NCCTG N0147). Mutations in BRAF (BRAF<sup>V600E</sup>) and in KRAS were tested and tumor DNA MMR status (proficiency or deficiency) was identified based on detection of MLH1, MSH2, and MSH6 proteins and methylation of the MLH1 promoter. Findings were validated using tumor samples from a separate set of patients with stage III cancer (n = 783). Based on MMR status and detection of BRAFV600E or mutations in KRAS (which were mutually exclusive), tumors were categorized into five subtypes: MMR-P (also as MSS or MSS-L) with BRAF<sup>V600E</sup> in 6.9%; MMR-P with KRAS mutations in 35%; MMR-P with no BRAF or KRAS mutations in 49%; MMR-D with BRAF<sup>V600E</sup> or hypermethylation of MLH1 (as the sporadic type) in 6.8%; and MMR-D with no BRAF mutation or hypermethylation of MLH1 (familial type) in 2.6%. Their findings were consistent with the molecular subtype model prediction described above. A higher percentage of MMR-P tumors with *BRAF*<sup>V600E</sup> were proximal (76%), high grade (44%), N2 stage (59%), and detected in women (59%), compared to MMR-P tumors without BRAF or KRAS mutations (33%, 19%, 41%, and 42%, respectively; all P < 0.0001). A significantly lower 5-year disease free survival (DFS) in patients with MMR-P and BRAF<sup>V600E</sup> mutations was found compared to patients who were MMR-P with no mutations in either gene. DFS in patients with MMR-D sporadic or familial

subtypes was similar to that in patients with MMR-P with no  $BRAF^{V600E}$  or KRAS mutations.

The other study is based on the Seattle Colon Cancer Family Registry. A total of 2706 patients were diagnosed with invasive CRC from 1998 through 2007 in western Washington State and followed for survival through 2012. Tumor samples were collected from 2050 participants and classified into five subtypes based on combinations of tumor markers: type 1 as MSI-H, CIMP-positive with BRAF mutation; type 2 as MSS or MSI-L, CIMPpositive with BRAF mutation; type 3 as MSS or MSI-L, non-CIMP, positive for KRAS mutation; type 4 as MSS or MSI-L, non-CIMP, with no mutations in BRAF and KRAS; and type 5 as MSI-H, non-CIMP, and negative for mutations in BRAF and KRAS. Hazard ratios (HR) and 95% confidence intervals (CI) were assessed for associations of subtypes with disease-specific and overall mortality after adjusting for age, sex, body mass, diagnosis year, and smoking history. The results showed that compared with patients with type 4 tumors (MSS or MSI-L, non-CIMP, without BRAF or KRAS mutations, the most predominant), the patients with type 2 tumors (MSS or MSI-L, CIMP-positive, with BRAF mutation) had the highest disease-specific mortality (HR = 2.20, 95% CI: 1.47–3.31). Patients with type 3 tumors (MSS or MSI-L, non-CIMP, with KRAS mutation) also had higher disease-specific mortality (HR = 1.32, 95% CI: 1.07-1.63). Patients with type 5 tumors (MSI-H, non-CIMP, and without BRAF or KRAS mutations) had the lowest disease-specific mortality (HR = 0.30, 95% CI: 0.14-0.66). Associations with overall mortality in each type were similar to those with disease-specific mortality. These two studies confirmed that CRC subtypes, defined by proposed molecular pathways, are associated with marked differences in survival.

As mentioned above, MSI-H CRCs accumulate mutations with frameshift mutations and subsequently create truncated transcripts and proteins that are neo-antigenic to the patients' immune system. A recent study confirmed that MSI status is predictive of immune checkpoint blockage in advanced CRC<sup>[32]</sup>.

In summary, integration of molecular pathways and subtypes with the TNM staging system is important for us to guide treatment for patients with active disease, and for surveillance/monitoring their status post potential curative procedure and adjuvant therapy. However, CRC heterogeneity has been observed at the intratumoral, intermetastatic, and intrametastatic levels. Rare variant cell populations may have important roles in clinical outcome. New strategies such as deep sequencing of primary CRC cell populations, comprehensive single-cell analyses, and analyses of circulating tumor-derived DNA are future molecular approaches that are needed to better define prognosis and predict likely responses to existing and new targeted therapies.

#### **Conflicts of interest**

The author indicated no potential conflicts of interest.

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#### REVIEW ARTICLE

## Precision medicine in the treatment of pancreatic ductal adenocarcinoma

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Abstract	Pancreatic cancer has a poor prognosis. Current therapies for pancreatic cancer have limited effects. In the past decade, precision medicine has shown great potential for clinical applications. In this review, different
Received: 5 May 2016	strategies for applying precision medicine to the treatment of pancreatic cancer are described.
Revised: 25 May 2016	Key words: pancreatic ductal adenocarcinoma (PDAC); precision medicine; chemotherapy; immuno-
Accepted: 10 June 2016	therapy; cancer genomics

Pancreatic ductal adenocarcinoma (PDAC) is the fourth leading cause of cancer-related death in the United States, with 5-year survival of less than 5% despite advances in treatment<sup>[1]</sup>. 1 Without treatment, the overall median survival is only 6-9 months, and surgical resection offers the only chance of a cure. However, of the estimated 44,030 new cases diagnosed in the United States in 2011, only 15% of patients present with resectable disease, whereas 40% present with locally advanced unresectable disease, and 45% present with metastatic disease <sup>[2]</sup>. Even with adjuvant therapies, the prognosis remains dismal because PDAC is highly resistant to chemotherapy and radiation therapy. Human cancer genome studies have unveiled the mutational landscape of PDAC, which is quite heterogeneous in the mutational profiles of individual PDACs [3]. Conventional clinical trial designs have mostly failed to demonstrate a high efficacy in lowering recurrent risk following surgical resection in unselected patients, thus there is an increasing demand for selection of therapies for individual patients according to their individual mutation profiles.

#### **Contemporary therapies**

Patients with PDAC might be offered one or more of the following treatments: surgery, chemotherapy, or radiation therapy. Surgery offers the only chance of a cure, even when PDAC is diagnosed at an early stage. However, at the time of diagnosis, only 20% of patients have resectable PDAC. The phase III CONKO-1 study has established a role for adjuvant chemotherapy following curative resection, with 6 monthly cycles of gemcitabine <sup>[4]</sup>. Nevertheless, as many as 80% of patients in the CONKO-1 study did not survive beyond 5 years, even though they received gemcitabine adjuvant therapy following curative surgery. Moreover, when adjuvant therapy with gemcitabine was compared with 5-FU, in an ESPAC-3 study using mainly European patients, no difference in efficacy was found <sup>[5]</sup>. However S1, an oral prodrug of 5-FU, was shown to double survival time compared to gemcitabine in a phase III JASPAC 01 and GEST study for resected pancreatic cancer in Japan and unresectable advanced pancreatic cancer in Japan and Taiwan, respectively <sup>[6-7]</sup>. For advanced, unresectable PDAC or metastatic PDAC, chemotherapy

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is palliative. Combination chemotherapy regimens such as FOLFIRINOX and nab-paclitaxel/gemcitabine were found to be superior to gemcitabine alone in improving the survival time of patients with metastatic PDAC in recently completed phase III clinical trials [8]. Although it is intriguing to examine the efficacy of these combination therapies in the adjuvant setting, the severe toxicities associated with these combinations would be of concern if administered to an unselected patient population. Whether addition of radiation therapy to adjuvant therapy provides an added benefit remains to be seen, but a phase III study is underway. Given the known resistance of PDAC to radiation, we would favor a more selective approach, administering radiation therapy only to patients who are likely to benefit. Moreover, concerning the high incidence of recurrence following surgical resection of PDACs, appropriate selection of patients who would benefit from neoadjuvant therapy may further increase the benefits of surgery for resectable or borderline resectable PDAC patients <sup>[9]</sup>. Therefore, the limitations of contemporary therapies for PDAC have increased the demand to apply precision medicine to the management of PDAC.

#### Basis for precision medicine

Precision medicine is defined as administration of the right treatment at the right dose at the right time. With the rapid progress in the fields of biotechnology, genetics, and molecular biology, it has become possible for clinicians to utilize precision medicine techniques to tailor the management of many medical conditions.

#### New PDAC classification based on genomics and transcriptomics

The mutational landscape of pancreatic neoplasms has begun to be integrated with patient's clinical outcomes. The Vogelstein group conducted the first whole-genome sequencing of PDACs <sup>[10]</sup>. In this study, 69 gene sets were found to be genetically altered in the majority of the 24 PDACs examined. Thirty-one of these sets could be further grouped into 12 core signaling pathways that were each altered in 67-100% of the 24 PDACs. The core pathways included those involving KRAS signaling and regulation of the G1/S cell cycle transition, in which a single, frequently altered gene was predominant; those involving TGF- $\beta$  signaling, in which a few altered genes were predominant; and those involving integrin signaling, regulation of invasion, hemophilic cell adhesion, and small guanine triphosphatase-dependent signaling, in which many different genes were altered. On average, each PDAC contains 63 genetic alterations, the majority of which are point mutations, and the pathway components altered in each individual tumor vary widely. Which core pathways and regulatory processes are altered becomes evident only when the coding regions of the genome are analyzed in depth.

Waddell et al<sup>[3]</sup> performed whole-genome sequencing and copy number variation analysis, including analysis for widespread and complex patterns of chromosomal rearrangement, in 100 PDACs. Chromosomal rearrangements leading to gene disruption were prevalent, affecting genes known to be important in pancreatic cancer (TP53, SMAD4, CDKN2A, ARID1A, and ROBO2) and new candidate drivers of pancreatic carcinogenesis (KDM6A and PREX2). A significant proportion harbored focal amplifications, many of which contained druggable oncogenes (ERBB2, MET, FGFR1, CDK6, PIK3R3, and PIK3CA), but at low prevalence in individual patients. Genomic instability co-segregated with inactivation of DNA maintenance genes (BRCA1, BRCA2, or PALB2) and a mutational signature of DNA damage repair deficiency. Based on structural variation profiles, PDACs were classified into four subtypes based on predominant genetic alterations with different clinical outcomes, including stable, locally rearranged, scattered, and unstable. The 'stable' subtype contains < 50 structural variation events and often exhibits widespread aneuploidy, suggesting the presence of defects in cell cycle regulation. The 'locally rearranged' subtype contains a copy number gain that harbors known oncogenes. Known oncogenes include common focal amplifications in KRAS, SOX9, and GATA6 and potential therapeutic targets such as ERBB2, MET, CDK6, PIK3CA, and PIK3R3. The remaining genetic alterations in the 'locally rearranged' subtype involve complex genomic events such as breakage-fusion-bridges or chromothripsis, which is linked to TP53 mutations in medullobastoma and acute myeloid leukemia. The scattered class exhibit a moderate level of non-random chromosomal damage and 50–200 structural variation events. The 'unstable' subtype has defects in maintaining DNA integrity and could be sensitive to DNA-damaging agents. A platinum-containing combination therapy is emerging as a treatment option for advanced PDAC. Defining biomarkers of platinum responsiveness would significantly alter current treatment approaches to PDAC and improve overall outcomes. The researchers defined biomarkers, based on a combination of changes in gene structure, genetic mutations, and mutation features, that characterize the effectiveness of this treatment method. In a series of 8 patients who received platinum-based chemotherapy, of the 5 patients with unstable genomes and/or a high BRCA mutational signature burden, 2 had exceptional responses (defined as complete radiological resolution of disease and normalization of CA19.9 levels), and 2 had robust partial responses based on RECIST 1.1 criteria. None of the 3 patients without an 'unstable' genome showed a response. These results support the efficacy of individual tumor therapy.

Bailey et al [11] performed an integrated genomic and RNA sequencing analysis of 456 PDACs and classified PDACs into four subtypes: squamous, pancreatic progenitor, aberrantly differentiated endocrine exocrine, and immunogenic PDACs. The 'squamous' subtype is characterized by four core gene signatures, including inflammation, hypoxia response, metabolic reprogramming, TGF-β signaling. These gene signatures are independent poor prognostic factors. They are also highly expressed in tumors of breast, bladder, lung, and head and neck cancers, suggesting that treatments for these other types of cancer could be applied to PDAC. The 'progenitor' subtype is primarily defined by the expression of transcriptional networks containing the transcription factors PDX1, MNX1, HNF4G, HNF4A, HNF1B, HNF1A, FOXA2, FOXA3, and HES1. These transcription factors are pivotal for determination of the cell fate of pancreatic endoderm towards a pancreatic lineage. The 'aberrantly differentiated endocrine exocrine' (ADEX) subtype is characterized by the upregulation of transcription factors such as NR5A2, MIST1, and RBPJL, whose downstream targets are important for acinar cell differentiation and regeneration following the occurrence of pancreatitis, and genes associated with endocrine differentiation and MODY (including INS, NEUROD1, NKX2-2, and MAFA). Importantly, several patient-derived pancreatic cancer cell lines were enriched with gene programs associated with the ADEX subtype. Moreover, these cell lines expressed multiple genes associated with terminally differentiated pancreatic tissues, including AMY2B, PRSS1, PRSS3, CEL, and INS. In addition, the methylation pattern of the ADEX-type tumors was distinct from that of healthy pancreas tissue, and clustered with that of other pancreatic cancers. The 'immunogenic' subtype shares many of the characteristics of the pancreatic progenitor subtype, but is associated with evidence of abundant immune infiltrates. The 'immunogenic' subtype is also associated with immune gene signatures including B cell signaling, antigen presentation, and CD4+ T cell, CD8+ T cell, and Toll-like receptor signaling pathways. Enrichment analysis identified upregulated expression of genes associated with nine different immune cell types and/or phenotypes. It is intriguing to consider that the 'immunogenic' subtype may be more sensitive to immunotherapy. These four different subtypes of PDACs have different prognoses. The 'squamous' subtype has a median survival time of 13.3 months; the 'progenitor' subtype, 23.7 months, the 'aberrantly differentiated endocrine exocrine' subtype, 25.6 months; and the 'immunogenic' subtype, 30.0 months [11].

#### Tumor assessment for precision medicine

To guide the practice of precision medicine, it is essential to obtain tumor specimens; however, tumor biopsy often requires invasive procedures and may not be feasible. Recently, circulating tumor cells (CTCs), circulating cell-free DNA (cfDNA), and exosomes, which can be detected in blood obtained through a minimally invasive 'liquid biopsy,' have been shown to potentially represent the molecular landscape of a patient's overall tumor burden and to permit monitoring of the clonal evolution of individual PDACs during the course of treatment and disease progression.

#### CTCs

CTCs can be found in most patients with PDAC of any stage <sup>[12]</sup>. Study of CTCs may also help in understanding the biology of metastases, characterizing tumor genetic alterations, and predicting the prognosis for PDAC. Yu et al [13] identified Wnt2 as a candidate gene enriched in CTCs through single molecular RNA sequencing in a genetically engineered mouse PDAC model. Non-canonical Wnt signaling pathways have been suggested to contribute to the metastatic potential of human PDAC. The effectiveness of Tak1 inhibition in suppressing this pathway has identified a novel, potential drug target for metastasis suppression. Kulemann et al [14] reported that patients with KRAS-mutated CTCs had better survival than patients with KRAS wild-type CTCs following surgical treatment of PDACs (19.4 vs 7.4 months). Poruk et al<sup>[15]</sup> assessed CTCs with epithelial and mesenchymal phenotypes and found that CTCs expressing vimentin, a mesenchymal marker, were associated with a high risk of recurrence following surgical resection of PDACs.

*cfDNA* 

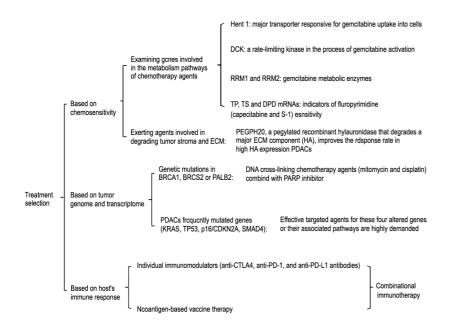
cfDNA is a cancer-derived material that is enriched in tumors and that likely originates from CTCs; it holds promise for directly detecting and monitoring the molecular characteristics of tumors. The presence of cfDNA has been reported to be associated with distant organ metastasis, and mutations in potential therapeutic target genes have been detected in 29.2% of cfDNA samples collected from a retrospective cohort of patients <sup>[16]</sup>.

#### Exosomes

Exosomes, lipid bilayer–enclosed extracellular vesicles that contain tumor cell materials, can avoid degradation in blood. Kahlert *et al*<sup>[17]</sup> found that exosomes from PDAC patients contain genomic DNA, RNA, and proteins, as well as mutations in KRAS and p53 in the genomic DNA. It has been reported that the level of glypican-1(+) circulating exosomes is correlated with the tumor burden and the survival of pre- and post-surgical patients with PDAC <sup>[18]</sup>.

#### Strategies for precision medicine

Precision medicine shows excellent potential for treatment of PDACs, and various strategies are being tested in clinical trials (Fig. 1).



**Fig. 1** Strategies for precision medicine in the treatment of PDACs. Different strategies for applying precision medicine to the treatment of PDACs. Hent 1, human equilibrative nucleoside transporter-1; DCK, Deoxycytidine kinase; RRM1 and RRM2, ribonucleoside reductases M1 and M2; TP, thymidine phosphorylase; TS, thymidylate synthase; DPD, dihydropyrimidine dehydrogenase; HA, hylauronic acid; PARP, poly ADP-ribose polymerase; CTLA-4, cytotoxic T lymphocyte antigen-4; PD-1, programmed cell death protein-1; PD-L1, the ligand of PD-1

#### Treatment selection based on chemosensitivity

The first strategy for selection of chemotherapy for individual patients involves examining genes that are involved in the metabolism of chemotherapeutic agents. Human equilibrative nucleoside transporter-1 (hENT1) is the major transporter responsible for uptake of gemcitabine into cells. A retrospective study including 27 patients with PDAC who underwent resection and treatment with adjuvant gemcitabine therapy revealed that high expression of hENT1 in PDAC is associated with longer survival in patients who received adjuvant gemcitabine monotherapy <sup>[19]</sup>. Similar results were also reported in other studies [20-21]. Deoxycytidine kinase (DCK) plays an important role in the process of gemcitabine activation and is a rate-limiting kinase in gemcitabine metabolism <sup>[22]</sup>. Expression of DCK at the gene and protein levels is closely associated with gemcitabine sensitivity in patients with PDAC, and high levels are associated with increased survival [23-25]. The ribonucleotide reductases M1 and M2 are also gemcitabine metabolic enzymes, and decreased levels are associated with gemcitabine resistance and a worse prognosis [26-28]. Recently, the orally administered fluoropyrimidine prodrugs, capecitabine and S-1, have been used for treatment of PDAC. One study showed that expression levels of thymidine phosphorylase, thymidylate synthase, and dihydropyrimidine dehydrogenase mRNA are indicators of fluropyrimidine sensitivity <sup>[29]</sup>.

Tumor stroma and extracellular matrix (ECM) are associated with PDAC aggressiveness and chemotherapy resistance. Members of the lysyl oxidase protein family, which mediate collagen cross-linking and promote ECM stiffening, have been proposed as novel targets for improving chemosensitivity [30]. PEGPH20, a pegylated recombinant hylauronidase that degrades a major ECM component, hylauronic acid (HA), is already on the horizon of clinical development. ECM with high HA expression has been shown to have high hydrostatic pressure that compresses intratumoral blood vessels. By degrading HA, PEGPH20 reopens blood vessels and thus facilitates delivery of chemotherapeutic drugs [31]. A randomized phase 2 study of gemcitabine and Nab-paclitaxel, with or without PEGPH20, showed that the subgroup of patients whose PDACs have high HA expression had a significantly higher response rate to gemcitabine and Nab-paclitaxel combined with PEGPH20 than to gemcitabine and Nab-paclitaxel without PEGPH20<sup>[32]</sup>. A phase 3 study of gemcitabine and Nab-paclitaxel, with or without PEG-PH20, for treatment of patients with high HA expression has recently been initiated [33].

#### Treatment selection based on tumor genome and transcriptome

The *BRCA1*, *BRCA2*, and *PALB2* genes are inactivated in approximately 10% of familiar pancreatic cancers. Their protein products contribute to repair of DNA cross-linking damage and double-strand breaks. Studies have suggested that PDACs with genetically inactivated *BRCA1*, *BRCA2*, or *PALB2* are significantly more susceptible to DNA cross-linking agents, such as mitomycin and cisplatin. However, tumor cells harboring DNA repair defects due to mutations in *BRCA1*, *BRCA2*, or *PALB2* can survive with damaged DNA. Inhibition of poly ADPribose polymerase (PARP) would inhibit repair of the damaged DNA by the alternative DNA repair machinery, preventing survival of the tumor cells. Therefore, genetic mutations in *BRCA1*, *BRCA2*, or *PALB2* have been used to select patients with PDAC for treatment with PARP inhibitors in clinical trials <sup>[34-35]</sup>. DNA repair defects also commonly occur in sporadic PDACs. Tumor genomeand transcriptome-based subtyping of PDACs may show promise to select patients for DNA cross-linking chemotherapy agents and PARP inhibitor treatments.

The landscape of the PDAC genome is notable for containing four frequently mutated genes (*KRAS*, *TP53*, *p16*/ *CDKN2A*, and *SMAD4*). Ideally, driver mutations in these four genes would be used to select the best treatment options for patients. However, effective targeted agents are not available for any of these four altered genes. Therefore, therapeutic agents that target these four altered genes or their associated pathways are in high demand and may be key to the success of precision medicine in PDACs.

## Treatment selection based on host immune response

Advances in immunotherapy have facilitated breakthroughs in the treatment of many cancer diseases. Cytotoxic T lymphocyte antigen-4 (CTLA-4) provides an inhibitory signal in the early phase of T-cell activation. The first immune checkpoint inhibitor to be approved is ipilimumab, a fully humanized IgG1 monoclonal antibody that inhibits CTLA-4. Programmed cell death protein-1 (PD-1) and its ligand, the ligand of PD-1 (PD-L1), function in the exhaustion of activated T cells, which can be blocked by therapeutic antibodies such as nivolumab and pembrolizumab, which are now United States Food and Drug Administration-approved. Although T-cell checkpoint inhibitors, including anti-CTLA4, anti-PD-1, and anti-PD-L1 antibodies, have shown substantial clinical benefits for treatment of other cancers, such as melanoma, non-small cell lung cancers, and renal cell carcinoma, their application in PDAC as single agents has shown limited efficacy [36]. Nevertheless, Lutz et al [37] showed that vaccine therapy can induce PD-1 and PD-L1 signaling and thus prime PDACs for anti-PD-1/PD-L1 antibody therapies. Soares *et al* <sup>[38-39]</sup> demonstrated that vaccine therapy can enhance the antitumor activity of anti-PD-1 or PD-L1 antibodies in a preclinical model of PDAC. Based on the rationale established by this preclinical study, multiple clinical trials have been initiated to test the combination of vaccines and anti-PD-1 antibodies in all four stages of PDACs. However, Lutz et al [37] highlighted the significant heterogeneity of the intratumoral immune response to vaccine therapy in different patients with PDAC. Thus, assessment of the intratumoral response to vaccine therapy has helped to reveal the immune regulatory signals that should be targeted by different immune modulating agents in individual patients. Moreover, a neoantigenbased vaccine therapy, designed based on whole-exome sequencing of mutated genes, will facilitate further tailoring of vaccine therapies for individual patients <sup>[36]</sup>.

#### Summary

In the last decade, precision medicine has shown considerable potential for clinical applications, largely owing to advancements in cancer genome research and in our understanding of the tumor microenvironment and the host immune response. However, before we are able to apply precision medicine to our routine practice for managing PDACs, more large-scale prospective clinical studies are warranted, to provide support for precision medicine approaches and to establish guidelines for application of precision medicine.

#### **Conflicts of interest**

The authors indicated no potential conflicts of interest.

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#### ORIGINAL ARTICLE

## Anticancer effect and enhanced chemotherapy potential of resveratrol in human pancreatic cancer cell lines\*

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Abstract	<ul> <li>Objective Gemcitabine, the only approved drug for the treatment of pancreatic cancer, is not very effective. Novel and effective cancer chemopreventive agents are urgently needed. Recently, emerging studies determined resveratrol possessed anticancer effects on various cancer cells. We explored the anticancer effect of resveratrol in pancreatic cancer cells and investigated the involved moleculars of action. We also examined whether resveratrol enhanced antitumor activity of gemcitabine <i>in vitro</i>.</li> <li>Methods Proliferation inhibition was assessed by cell count kit-8 assay. Cell cycle phase distribution and apoptotic cells were measured by flow cytometric analysis. We determined the expression of bcl-2, cyclinD1, and activation of caspases-3 and poly (ADP-ribose) polymerase1 proteins used Western blot analysis.</li> <li>Results Resveratrol inhibited the proliferation of three pancreatic cancer cell lines in a dose dependent fashion, and induced accumulation of cells at the G1 phase as well as apoptosis. Our data also demonstrated that resveratrol enhanced gemcitabine-induced apoptosis in pancreatic cancer cells. In addition, resveratrol inhibited the expression of cyclinD1, bcl-2, and induced activation of caspase-3 and poly (ADP-</li> </ul>
	ribose) polymerase1.
Received: 28 May 2016 Revised: 26 July 2016	<b>Conclusion</b> Our results suggested that resveratrol might be not only a potential regimen, but also an effective chemosensitizer for the chemotherapy of pancreatic cancer.
Accepted: 5 August 2016	Key words: resveratrol; gemcitabine; pancreatic cancer; apoptosis; proliferation

In the United States, it was estimated that 45,220 men and women would be diagnosed with pancreatic cancer (PaCa) and 38,460 would die from this disease in 2013. <sup>[1]</sup>. PaCa is ranked as the fourth leading cause of cancerrelated deaths after lung, prostate (breast in women), and colorectal cancer in the United States since the 1970s, with a relative 5-year survival rate of only 5%–6% <sup>[2]</sup>. Metastatic disease present at the time of first diagnosis, aggressive progression, and limited effective therapies account for the high mortality. Surgical resection may provide the only chance for a cure or long-term survival in PaCa patients. However, most PaCa patients with locally advanced or incurable metastatic disease are diagnosed for the first time, and only 15%–20% of patients with potentially resectable disease have the chance for surgery at presentation. Furthermore, over 80% of patients experience recurrence within 2 years of surgery <sup>[3-4]</sup>. Median survival after recurrence is 7 months for local and 3 months for metastatic recurrence cases <sup>[5]</sup>. Thus, palliative treatment may be a good choice for patients with ad-

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vanced-stage PaCa. Gemcitabine, the only approved drug for the treatment of PaCa, offers a poor partial response (< 6%) in patients <sup>[6]</sup>. This disappointing outcome strongly suggests that novel, effective, and less toxic chemopreventive agents or chemosensitizers are urgently needed.

Resveratrol (trans-3, 4',5-trihydroxy-trans-stilbene) is found in more than 70 plant species, including grapes, peanuts, berries, and pines, and is particularly abundant in the skin of red grapes (50 to 100  $\mu$ g of resveratrol per gram wet weight)<sup>[7–8]</sup>. It is produced by plants in response to infection by the pathogen Botrytis cinerea <sup>[9]</sup>. This compound might account for the reduced risk of coronary heart disease in individuals with moderate red wine consumption <sup>[10–11]</sup>.

Since 1997 when Jang *et al* <sup>[12]</sup> demonstrated that resveratrol had cancer-chemopreventive activity in three major phases of carcinogenesis, namely initiation, promotion, and progression, numerous studies about the anticancer effect of this compound have emerged. Extensive experimental data have shown that resveratrol induced growth inhibition, cell cycle arrest, apoptosis, blockade of angiogenesis, and inhibited metastasis of numerous cancers, such as gastric carcinoma <sup>[13]</sup>, colorectal cancer <sup>[14]</sup>, skin cancer <sup>[15]</sup>, glioma <sup>[16]</sup>, and lung carcinoma <sup>[17]</sup>. Despite the potential interest, very little data are available regarding the precise mechanism underlying the anticancer effect of this compound. It is also not known whether resveratrol can potentiate the effect of gemcitabine in PaCa cells.

Therefore, we investigated whether resveratrol induces an anticancer effect on human PaCa cells, and if it can potentiate the effect of gemcitabine in these cells. In addition, we further explored the macromolecules involved in the anticancer effect of this compound.

#### Materials and methods

#### Cell culture and experimental reagents

Three human PaCa cell lines (SW1990, PANC-1, and BxPC-3) were used. SW1990 and PANC-1 were stored by our laboratory (Shanghai Institute of Digestive Disease, Shanghai, China). BxPC-3 was a gift from Ruijin Hospital, Shanghai Jiaotong University School of Medicine (Shanghai, China). All cell lines were cultured in Dulbecco's Modified Eagle's Medium (DMEM) supplemented with 10% fetal bovine serum, 100 units/mL penicillin, and 100 mg/mL streptomycin (Gibco BRL, Waltham, MA, USA) in a 5% CO<sub>2</sub> incubator at 37 °C. All experiments were performed during the exponential growth phase of the cells. Each treatment condition was a single dose of resveratrol at the indicated concentration or 0.1% dimethyl sulfoxide (DMSO; vehicle) as control.

Resveratrol (trans-3,4',5-trihydroxystilbene) and propidium iodide (PI) were purchased from Sigma-Aldrich Corp. (St. Louis, MO, USA). A stock solution of resveratrol was prepared in DMSO at a concentration of 250 mmol/L and stored in the dark at -20 °C. The DMSO concentration in all drug-treated cells was always less than 0.1% (v/v). Gemcitabine was purchased from Jiangsu Hansen Pharmaceutical Co., Ltd (Jiangsu Province, China). The annexin V/fluorescein isothiocyanate (FITC)-PI staining kit was obtained from BD Biosciences Inc. (Heidelberg, Germany). Cell Counting Kit-8 (CCK-8) was obtained from Dōjindo Laboratories (Kumamoto, Japan). The probes used were rabbit anti- BCL2, apoptosis regulator (Bcl-2), anti-procaspase-3, and anti-activated caspase-3 polyclonal antibodies; rabbit anti-α-tubulin monoclonal antibody (Cell Signaling Technology, Danvers, MA, USA); and mouse anti-cyclin D1 antibody (Santa Cruz Biotechnology, Inc., Dallas, TX, USA). Goat anti-rabbit/ mouse horseradish peroxidase (HRP)-conjugated secondary antibody was from Cell Signaling Technology (Danvers, MA, USA).

#### **Proliferation assay**

Antiproliferative effect of resveratrol in human pancreatic cancer cells was analyzed by CCK-8 assay. Briefly, SW1990, PANC-1, and BXPC-3 cells (5000 per well) were incubated in 96-well plates overnight and treated with various doses of resveratrol, or the combination of resveratrol and gemcitabine for 24, 48, and 72 h. Treatment with each concentration was repeated three times in three replicates. After various treatments, the cells were incubated with 100  $\mu$ L of DMEM supplemented with 10  $\mu$ L of CCK-8 reagent for 0 to 4 h at 37 °C. Cell viability was determined by scanning the absorbance with a microplate reader at 450 nm. The results were expressed as the percentage of viable cells as follows:

Relative viability (%) =  $(A_{450[\text{treated}]} - A_{450[\text{blank}]}) / (A_{450[\text{control}]} - A_{450[\text{blank}]}) \times 100\%$ .

#### Apoptosis and cell cycle analysis by flow cytometry

To quantify the percentage of apoptosis, we performed a flow cytometry analysis. In the resveratrol-alone treatment, cells were seeded in 6-well culture plates overnight and subsequently treated with 50, 100, and 150  $\mu$ M resveratrol, or were untreated (0.1% DMSO) for 24 h. In the combination treatment, pancreatic cancer cells were treated with resveratrol (50  $\mu$ M), gemcitabine (2  $\mu$ M), or a combination of the two compounds. Then, both floating and trypsinized adherent cells were collected and incubated in 500  $\mu$ L of binding buffer containing 5  $\mu$ L of annexin-V/FITC for 30 min at 37 °C, and were then treated with 5  $\mu$ L of PI for 5 min in the dark at room temperature. Analysis was immediately performed using a flow cytometer (BD Biosciences, San Jose, CA, USA). Cells for the cell cycle analysis were washed in cold phosphatebuffered saline (PBS) twice and incubated in 300  $\mu$ L of PBS containing 0.5% saponin, 50  $\mu$ g/mL PI, and RNase 0.1 mg/mL for 30 min at 4 °C. The cells were analyzed by a flow cytometer, and cell-cycle phase distribution was analyzed using the Cell-Fit program. Data acquisition was gated to exclude cell doublets.

#### Western blot analysis

Western blot analysis was performed using standard techniques, as described previously <sup>[18]</sup>. Briefly, equal protein aliquots in each sample were resolved in 12% sodium dodecyl sulfate polyacrylamide gel electrophoresis and the proteins were transferred onto nitrocellulose membranes. After blocking with 5% skimmed dried milk, the membranes were incubated with a 1:2000 dilution of primary antibodies. The membranes were then incubated with a horseradish peroxidase-conjugated secondary antibody (1:2000; Pierce, Thermo Fisher Scientific Inc., Waltham, MA, USA). The proteins were detected by an enhanced chemiluminescence detection system (Super-Signal™ West Femto Substrate; Pierce, Thermo Fisher Scientific Inc., Waltham, MA, USA), and light emission was captured on Kodak X-ray films.

#### Statistical analysis

Unless otherwise indicated, all results were representative of three separate experiments. Values represented the mean  $\pm$  standard deviation (SD) of these experiments. The statistical significance of differential findings between experimental groups and the control was determined by one-way analysis of variance (ANOVA) using SPSS 13.0 and significance was set at P < 0.05.

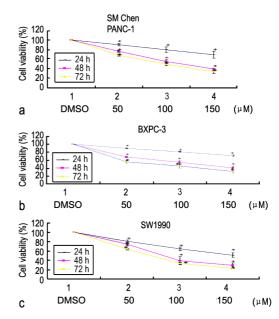
#### Results

#### Cell growth inhibition by resveratrol in pancreatic cancer cells

The cytotoxicity of resveratrol on pancreatic cancer cells was first determined using CCK-8 assay to investigate the effect of resveratrol on cell proliferation in three PaCa cell lines. The decrease in absorbance in this assay could either be a consequence of cell death or cell proliferation inhibition. Resveratrol inhibited growth in all the cell lines (SW1990, PANC-1, and BxPC-3) in a dose-dependent manner (Fig. 1). In addition, we calculated the IC50 in each cell lines to resveratrol differed. The IC<sub>50</sub> values of PANC-1, BxPC-3, and SW1990 cells were 118.21  $\pm$  2.91, 117.09  $\pm$  9.94, and 99.97  $\pm$  2.03  $\mu$ M, respectively.

#### Cell apoptosis induced by resveratrol

To investigate whether resveratrol induced apoptosis of PaCa cells, three cell lines were treated for 24 h with 50, 100, or 150  $\mu$ M resveratrol, or 0.1% DMSO. In



**Fig. 1** Effect of resveratrol on PANC-1, BxPC-3, and SW1990 cell proliferation. Dose and time-dependent inhibition of cell proliferation by resveratrol in three pancreatic cancer cell lines. Each value represents the mean  $\pm$  SD (n = 4) of three independent experiments. \* P < 0.05, compared with the DMSO group

general, we found that resveratrol induced apoptosis in a dose-dependent fashion in the three cell lines (Fig. 2a). For example, 50  $\mu$ M resveratrol did not significantly induce apoptosis compared with that in the control group, whereas the 100  $\mu$ M and 150  $\mu$ M groups showed a marked increase in apoptosis in PANC-1 cells (Fig. 2b). In the case of SW1990 cells, a similar result was obtained. Nevertheless, in BxPC-3 cells, only 150  $\mu$ M resveratrol induced marked apoptosis.

## Effect of resveratrol on cell-cycle phase distribution

To investigate resveratrol induced cell proliferation inhibition further, cell-cycle phase distribution was examined using flow cytometry. Compared with control cells, the cell cycle profiles of PANC-1 (Fig. 3a), BxPC-3 (Fig. 3b), and SW1990 (Fig. 3c) changed significantly after incubation with 100  $\mu$ M of resveratrol for 24 h. In general, resveratrol led to an arrest in the G1 phase, correspondingly, causing a decrease of cells in the S and G2 phases of the cell cycle. As shown in Table 1, the number of cells in the G1 phase significantly increased from 45.70% to 66.79% in PANC-1, from 47.23% to 72.35% in BxPC-3, and from 48.97% to 73.29% in SW1990. The number of cells in the S and G2 phases was decreased in the three PaCa cell lines; although in the PANC-1 cells, the reduction was not significant (Table 1).

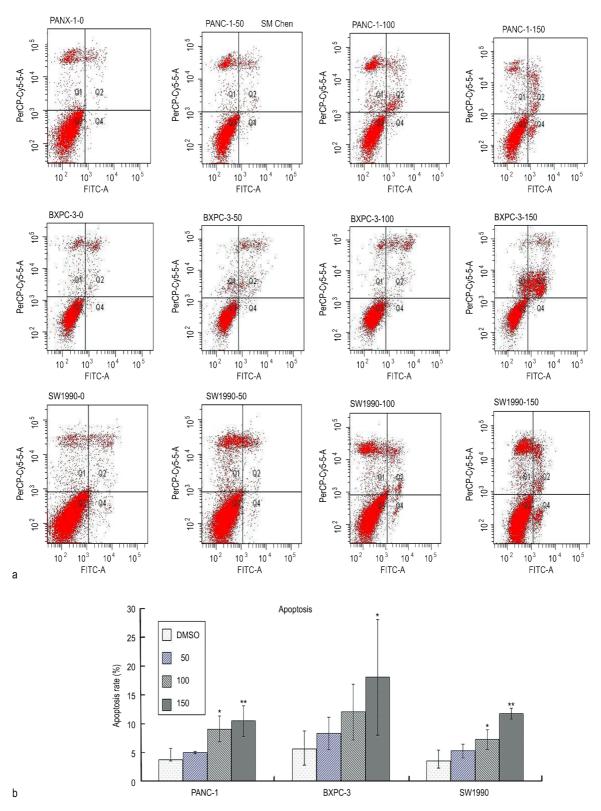


Fig. 2 Effect of resveratrol on PANC-1, BxPC-3, and SW1990 cell apoptosis. PANC-1, BxPC-3, and SW1990 cells were stained and analyzed by flow cytometry to detect Annexin V-FITC positive and/or PI positive cells. The unaffected, early apoptotic, late apoptotic, and necrotic cells are present in the lower left, lower right, upper right, and upper left quadrant, respectively. Representative data from one experiment are shown, and two additional experiments yielded similar results. \* P < 0.05 and \*\* P < 0.01 compared with the control

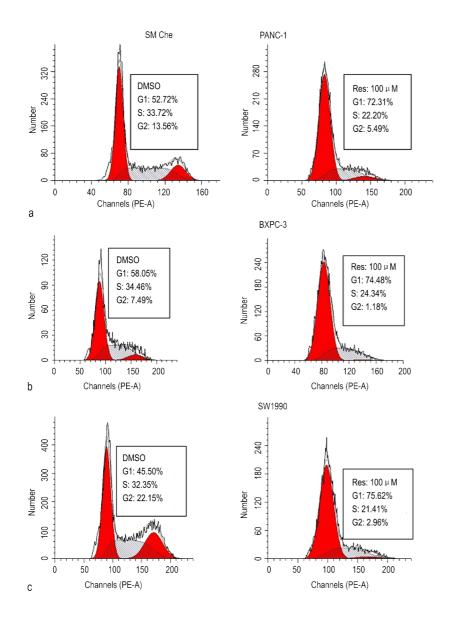


Fig. 3 Analysis of cell-cycle phase distribution by flow cytometry. PANC-1, BxPC-3, and SW1990 cells were treated with 0.1% DMSO, or 100  $\mu$ M resveratrol for 24 h and incubated in 300  $\mu$ L PBS containing 0.5% saponin, 50  $\mu$ g/mL PI, and RNase 0.1 mg/mL for 30 min at 4 °C for flow cytometric analysis of DNA content. Representative data from one experiment are shown, and two additional experiments yielded similar results

#### Resveratrol blocks cyclin D1 and Bcl-2 expression and induces activation of procaspase-3 and poly (ADP-ribose) polymerase 1 in pancreatic cancer cells

To further understand the mechanism of resveratrolinduced cell proliferation inhibition and apoptosis, we examined the expression of cyclin D1, Bcl-2, caspase-3, and poly (ADP-ribose) polymerase 1 (PARP1) after resveratrol treatment. The PaCa cell lines were exposed to 50, 100, 150  $\mu$ M resveratrol, or 0.1% DMSO for 48 h. Consistent with the results of the cell-cycle phase distribution, the level of cyclin D1 was reduced in a dose-dependent fashion in the PaCa cell lines. A major decrease was seen in the 150  $\mu$ M group among all cell lines, but in the SW1990 cells 50  $\mu$ M resveratrol did not significantly reduce protein expression (*P* = 0.055) (Fig. 4). We also observed that resveratrol repressed Bcl-2 expression, and induced the proteolytic cleavage of procaspase-3 into its 17-kD active form as well as the activation of its substrate PARP1, resulting in effector caspase and activated PARP1 up-regulation (Fig. 4). In conclusion, these data suggested that cell growth inhibition and apoptosis were at least in part related to the expression levels of cyclin D1 and Bcl-2 as well as activated caspase-3 and PARP1, although the sensitivity to resveratrol was dependent on the individual cell type.

## Cell growth inhibition with a combination of resveratrol and gemcitabine

We also investigated whether resveratrol enhanced antitumor activity of gemcitabine in PANC-1 and BxPC-3 cells. As detected by the CCK-8 assay, data showed that

 
 Table 1
 Effects of resveratrol on PANC-1, BxPC-3, and SW1990 cellcycle phase distribution

Cell	Treatments	G1 (%)	S (%)	G2 (%)
PANC-1	DMSO	45.70 ± 6.72	37.65 ± 7.83	16.65 ± 4.98
	100 µM	66.79 ± 6.93*	29.86 ± 8.93	3.35 ± 2.94*
BXPC-3	DMSO	48.97 ± 9.56	37.14 ± 3.42	13.89 ± 6.26
	100 µM	73.29 ± 1.1*	24.22 ± 1.97**	2.49 ± 2.61*
SW1990	DMSO	47.23 ± 2.46	37.25 ± 6.22	15.52 ± 6.3
	100 µM	72.35 ± 3.21**	20.85 ± 1.09*	3.46 ± 2.55*

\* P < 0.05 and \*\* P < 0.01 versus the DMSO group. Values are the mean and SD of three independent assays

the resveratrol (50  $\mu$ M), gemcitabine (2  $\mu$ M), and combination (50  $\mu$ M resveratrol and 2  $\mu$ M gemcitabine) treatment decreased the growth of PaCa cells in a time-dependent manner (Fig. 5). However, the combination treated cells showed a more significant decrease (P < 0.05). After treatment for 24 h, 48 h, and 72 h, the inhibition in rate of cell growth in the combination group was  $31.36 \pm 7.34\%$ ,  $61.03 \pm 0.52\%$ , and  $67.26 \pm 3.27\%$ , respectively.

#### Cell apoptosis induced by resveratrol and gemcitabine

Cell apoptosis induced by resveratrol and gemcitabine was detected in PANC-1 and BxPC-3 cells. As shown in Fig. 6, 48 h after various treatments the apoptosis rates in the DMSO (0.1%), resveratrol (50  $\mu$ M), gemcitabine (2  $\mu$ M), and combination (50  $\mu$ M and 2  $\mu$ M) group were 4.34  $\pm$  1.53%, 12.80  $\pm$  1.71%, 16.07  $\pm$  1.34%, and 23.3  $\pm$  3.01% in PANC-1 cells, respectively; and in BxPC-3 cells were 7.87  $\pm$  0.8%, 13.33  $\pm$  1.21%, 16.50  $\pm$  5.30%, and 29.07  $\pm$  3.59%, respectively. This meant that resveratrol could significantly increase cell apoptosis induced by gemcitabine (*P* < 0.05). Taken together, our data demonstrate that resveratrol not only inhibited cell growth but also enhanced gemcitabine-induced apoptosis in PaCa cells, and that resveratrol markedly enhanced the chemosensitivity of these cells.

#### Discussion

Pancreatic cancer is a highly aggressive malignant disease, which is currently treated using available chemotherapy regimens but with limited success and dismal outcomes. Gemcitabine, the only approved drug, has not resulted in radically improved outcomes for the treatment of PaCa. Over several decades, numerous agents have been tested in combination with gemcitabine including erlotinib, platinum analogs, bevacizumab, and celecoxib, but all have afforded disappointing results <sup>[19]</sup>. Emerging evidence suggests that many dietary compounds exhibit beneficial effects for the prevention of cancer [20-22]. Resveratrol is derived from a Chinese traditional medicinal plant (Polygonum cuspidatum) as well as grapes, peanuts, berries, and pines, and is regarded as safe to consume [8]. Extensive data show that resveratrol affects tumor initiation, promotion, and progression, and suppresses angiogenesis and metastasis by involving multiple pathways<sup>[8]</sup>. Consistent with previous reports, this study demonstrated that resveratrol induced cell growth inhibition and apoptosis in a dose-dependent manner in SW1990, PANC-1, and BxPC-3 PaCa cell lines. The mechanism of resveratrol's anticancer effect is not fully understood. Yang Shu found that resveratrol elicits anti-colorectal cancer effect by activating miR-34c that targets KIT ligand in vitro and in vivo [23]. In this study, we found that resveratrol blocked cell cycle progression in three PaCa cell lines with cells remaining in the G1 phase, which resulted in cell proliferation inhibition. To explore which proteins may be involved in resveratrol-induced cell proliferation inhibition and apoptosis, we investigated the cell cycle regulator, cyclin D1, and apoptotic proteins Bcl-2, caspase-3, and PARP1. Western blot analysis showed that resveratrol repressed cyclin D1 and Bcl-2 expression in a dose-dependent fashion and upregulated activation of caspase-3. We also observed that the proteolytic cleavage of procaspase-3 was followed by proteolytic degradation of PARP1, a specific substrate of both effector caspases-

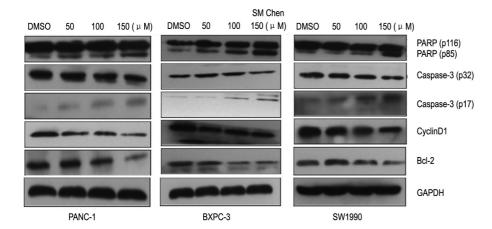


Fig. 4 Effect of resveratrol on expression of cell cycle- and apoptosis-related proteins. Pancreatic cancer cells were incubated with increasing concentrations of resveratrol for 48 h. Levels of Bcl-2, cyclin D1, caspase-3, and PARP1 proteins were detected by western blotting. GAPDH was inserted as a control. Results are representative of three independent experiments

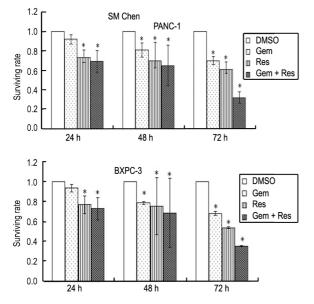


Fig. 5 Synergistic effect of resveratrol and gemcitabine on PANC-1 and BxPC-3 cells. Dose and time-dependent inhibition of cell proliferation were observed. Each value represents the mean  $\pm$  SD (n = 4) of three independent experiments. \* P < 0.05, compared with the DMSO group

3 and -7, suggesting that resveratrol-induced cleavage of procaspase-3 leads to activation of the protease. This down-regulation of cyclin D1 may be related with the G1 arrest observed. Cyclin D1 appears in the G1 phase and interacts with cyclin-dependent kinase (CKD) regulating the progression of cells from late G1 phase into the S phase. Cells accumulated in the G1 phase, and correspondingly, the percentage of cells in the S and G2/M phase decreased. The results suggest that resveratrol could reduce DNA synthesis and induce DNA fragmentation in all three examined cell lines.

In chemotherapeutic drug-induced apoptosis of tumor cells, three different death signaling pathways lead to apoptosis [22], namely the extrinsic death receptor-dependent pathway <sup>[23]</sup>, the intrinsic mitochondria-dependent pathway [24], and the intrinsic endoplasmic reticulum (ER) stress-mediated pathway [25]. The mitochondriadependent death signaling begins with the release of mitochondrial cytochrome c into the cytoplasm, which together with the apoptotic protease activating factor-1 (APAF-1) activates caspase-9 in the presence of dATP, and then activates caspase-3, leading to cell death [26-27]. In the ER stress-mediated apoptotic pathway, activation of caspase-12 can directly activate caspase-9 [28-29] .ER stress also triggers activation of caspase-8 and c-jun N-terminal kinase (JNK), both of which are known to cause mitochondrial cytochrome c release <sup>[30–31]</sup>. Thus, the caspases, a family of cysteine-dependent aspartate-directed proteases, appear to be involved in three different death signaling pathways, and caspase-3 is at the intersection of these pathways. We also found that the proteolytic cleavage of procaspase-3 was followed by proteolytic degradation of PARP1, a substrate of caspase-3, suggesting that resveratrol induced activation of procaspase-3 leads to activation of the protease. Proteolytic degradation of PARP1 results in DNA fragmentation in the process of apoptosis, which is known to play an important role in protecting chromosomal DNA [32].

The Bcl-2 family is another critical component involved in apoptosis. The family includes a number of

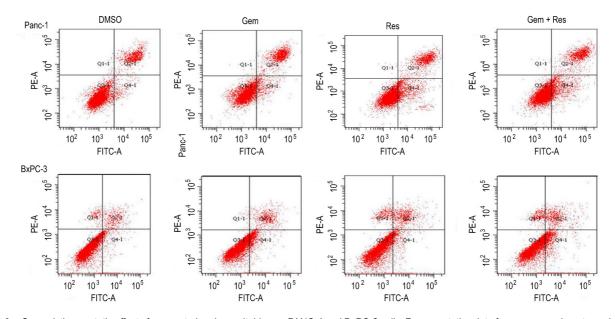


Fig. 6 Synergistic apoptotic effect of resveratrol and gemcitabine on PANC-1 and BxPC-3 cells. Representative data from one experiment are shown, and two additional experiments yielded similar results. \* P < 0.05 and \*\* P < 0.01 compared with the control

proteins, which have homologous amino acid sequences, including antiapoptotic members such as Bcl-2, BCL2 like 1 (bcl-xL), and X-linked inhibitor of apoptosis (XIAP), as well as proapoptotic members including BCL2 associated X, apoptosis regulator (BAX). Interestingly, Kirsch [33] found that the Bcl-2 protein was cleaved at Asp-34 by caspases during apoptosis and by recombinant caspase-3 in vitro. Arnoult [34] also observed that antiapoptotic proteins, Bcl-2, bcl-xL, and XIAP, are cleaved by caspase-3 and are converted to proapoptotic proteins similar to BAX. The proapoptotic Bcl-2 cleavage product localizes on the mitochondrial membrane and causes a release of cytochrome c [35-36], and then triggers mitochondria-dependent death signaling and results in apoptosis. In this study, we examined the expression of Bcl-2 protein, to confirm the participation of caspases in cell death. The results showed that resveratrol induced activation of caspase-3 and repressed Bcl-2 expression. Therefore, it seems that the apoptotic effects of resveratrol are accomplished by a caspases signaling-dependent mechanism through the downregulation of Bcl-2.

We next tried to determine whether resveratrol has potential in combination with gemcitabine in the treatment of PaCa, one of the most lethal cancers. We found that resveratrol not only inhibited cell growth but also enhanced gemcitabine-induced apoptosis in the cells. Our results were in agreement with a previous report that resveratrol when used in combination with gemcitabine, was highly effective in inducing apoptosis in PaCa cells <sup>[37]</sup>. It has been speculated that downregulation of NF- $\kappa$ B, which was constitutively activated in PaCa, could be one of the mechanisms to explain this observation. However, the mechanism of the observed synergistic effects of the combinatorial treatment was not fully investigated. As far as we know NF-kB, which mediates inflammatory signaling pathway closely linked to drug resistance, also regulates Bcl-xL, Bcl-2, prostaglandin-endoperoxide synthase 2 (PTGS2 or COX2), cyclin D1, vascular endothelial growth factor A (VEGFA), and matrix metallopeptidase 9 (MMP-9). In the present study, we also observed that resveratrol inhibited protein expression of cyclin D1 and Bcl-2, and induced activation of caspase-3 and PARP1, which may explain why resveratrol enhanced anticancer activity of gemcitabine in pancreatic cancer.

#### Conclusions

Our results showed that resveratrol played an important role in cell proliferation inhibition, cell cycle arrest, and apoptosis in pancreatic cancer cells. In addition, resveratrol markedly increased the chemosensitivity of pancreatic cancer cells to gemcitabine. Nevertheless, we did not explore the anticancer effect of this compound *in vivo*. In the present study, we only investigated the expression level of Bcl-2, caspase-3, and PARP1, but did not explore the precise interaction of these proteins in the apoptosis progression. Therefore, to obtain a better understanding of its chemopreventive activity, the cell death signaling pathways of resveratrol-induced apoptosis in the PaCa cell should be fully explored. Furthermore, the potential biological activities of resveratrol *in vivo* should be investigated in future studies. Based on these results, further studies are required to explore the potential of resveratrol as an anticancer agent for pancreatic cancer treatment.

#### **Conflicts of interest**

The authors indicated no potential conflicts of interest.

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#### ORIGINAL ARTICLE

## Mammography combined with breast dynamic contrast-enhanced-magnetic resonance imaging for the diagnosis of early breast cancer

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Abstract	<b>Objective</b> The aim of this study was to investigate the application of mammography combined with breast dynamic contrast-enhanced magnetic resonance imaging (DCE-MRI) for the diagnosis of early breast cancer.
	<b>Methods</b> Mammography and DCE-MRI were performed for 120 patients with breast cancer (malignant, 102; benign; 18).
	<b>Results</b> The sensitivity of mammography for early diagnosis of breast cancer was 66.67%, specificity was 77.78%, and accuracy was 68.33%. The sensitivity of MRI for early diagnosis of breast cancer was 94.12%, specificity was 88.89%, and accuracy was 93.33%. However, the sensitivity of mammography combined with DCE-MRI volume imaging with enhanced water signal (VIEWS) scanning for early diagnosis of breast cancer was 97.06%, specificity was 94.44%, and accuracy was 96.67%. <b>Conclusion</b> Mammography combined with DCE-MRI volume with DCE-MRI increased the sensitivity, specificity, and accuracy
Received: 26 March 2016 Revised: 28 April 2016 Accepted: 25 May 2016	of diagnosing early breast cancer. <b>Key words</b> breast carcinoma; mammography; nuclear magnetic resonance; dynamic enhancement; time signal curve

In recent years, there has been an upward trend in the incidence of breast cancer in our country, and it tends to occur at a younger age. Further, breast cancer has become one of the most common malignant tumors in women, and is therefore one of the most significant threats to a woman's health. Based on the statistics, breast cancer mortality in China has increased by 96% over the last thirty years <sup>[1]</sup>. Early detection, diagnosis, and treatment plays an important role in the prognosis of breast cancer, and imaging examination is important for the detection and diagnosis of breast cancer. Mammography is widely used for the diagnosis of breast cancer and breast screening, and with the technological advancements in recent years, magnetic resonance imaging (MRI) for breast examination plays an important role in breast cancer detection, diagnosis, and operation method selection. In this study, we aimed to investigate the application of mammography combined with breast dynamic contrast-enhanced (DCE) MRI for the early diagnosis of breast cancer.

#### **Patients and methods**

#### **Patients**

A retrospective analysis was conducted of 120 patients, who underwent MRI examination at our hospital (Sichuan Cancer Hospital, Chengdu, China) from November 2008 to December 2012. The patients were women, aged 28–72 years (mean age, 47 years), who were definitely diagnosed after pathological examination. Out of the 120 patients, 102 patients had malignant cancers (50 with invasive ductal carcinomas, 23 with invasive lobular carcinomas, 12 with ductal carcinomas, 12 with papillary carcinomas, and 8 with mixed carcinomas), while 18 patients had benign cancers.

#### Methods

#### Mammography

Mammography was performed using a PHILIP MammoDiagnostDR machine with automatic exposure conditions. The patients underwent mammography with

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standard craniocaudal, mediolateral oblique, and mediolateral when needed. Additionally, patients with dense or multiple glands, or those who had undergone mammary gland transplantations were examined in the spot compression view. Galactography was performed when a nipple discharge was observed.

MRI

MRI was performed using a Siemens Avanto 1.5T MRI machine, and the patients were positioned in the prone position on the dedicated phase array breast surface coil, with both the breasts suspended in the coil tank. The patients were fitted with a high-pressure syringe injection channel, and then the inversion recovery fat-suppressed T2WI axial scan was performed along with breast DCE-MRI VIEWS scanning (3D volumetric interpolated spoiled gradient echo fat-suppressed T1WI axial scan). The sequence parameters were as follows: TR, 4.4 ms; TE, 1.5 ms; FA, 12; matrix 512 × 338; FOV, 320 mm × 320 mm; and thickness, 1 mm (continuous uninterrupted scanning). The first scanning was performed for Mask, with a scanning time of 1 min, and the intravenous bolus injected was Gd-DTPA (0.2 mmol/kg; rate 1.5 mL/s). Five DCE scans were performed, and the parameters of the scans were automatically copied for Mask's, with a 1-min scanning period for each scan. Subtraction images were generated automatically in sequence, which were five breast angiographies obtained after injection of the contrast medium. Five plain and enhanced images were processed using the mean curve software. The central area of the lesion was selected as the region of interest (ROI), and a time-signal intensity curve of the ROI was generated.

#### Statistical analysis

All data were statistically analyzed using the SPSS11.5 software. The results of the mammography and DCE-MRI were analyzed with  $\chi^2$  test. The sensitivity and specificity of the differential diagnosis for benign and malignant lesions and the accuracy of the diagnosis when using mammography, DCE-MRI, and mammography combined with DCE-MRI were calculated separately.

#### Results

#### Mammography for the early diagnosis of breast cancer

The data showed that 72 cases were malignant, while 48 were benign. The sensitivity of mammography for early breast cancer diagnosis was 66.67% (68/102), specificity was 77.78% (14/18), accuracy was 68.33% (82/120; Table 1). The mammography showed that the benign breast tumor was mostly round or oval soft tissue masses with a clear boundary and soft texture, popcorn-like or shell-like shaped with a ring calcification and clinical palpation. Hu

**Table 1** The result of mammography compared with pathological results (*n*)

Mammography	Pathologic	Total	
Maninography	Malignant tumors	Benign tumors	TULAI
Positive	68	4	72
Negative	34	14	48
Total	102	18	120

 Table 2
 The results of breast DCE-MRI compared with pathological results (n)

DCE-MRI	Pathologica	Total	
DGE-IVINI	Malignant tumors	Benign tumors	IUldi
Positive	96	2	98
Negative	6 16		22
Total	102	18	120

The difference between mammography and DCE-MRI was statistically significant ( $\chi^2$  = 43.230, *P* < 0.0005)

YS <sup>[2]</sup> showed that the imaging features of the breast tumor on mammography were divided into eight characteristics: round tumors, petal-shaped masses, leaf-shaped masses, burr-like masses, translucent round masses, calcified lumps, fuzzy masses, and cystic wall masses, as well as seven indirect characteristics, such as malignant calcification, big ducts, tunnel symptoms, thick signs, abnormal blood vessels, horned masses, and spire signs. The diagnosis symptoms in malignant tumors were as follows: one burr-like mass, translucent ring masses, calcified lumps, or spire signs; one or more petal-shaped masses, leaf-shaped masses, fuzzy masses with indirect symptoms; two more indirect symptoms with round tumors; and calcified lumps with malignant calcification or with two more indirect symptoms.

#### DCE-MRI for early diagnoses of breast cancer

The data showed that 98 tumors were malignant and 22 were benign. The sensitivity of mammography for diagnosis was 94.12% (96/102), specificity was 88.89% (16/18), and the accuracy was 93.33% (112/120; Table 2). DCE-MRI VIEWS scans had higher spatial and temporal resolution, which could further improve the clarity of the lesion and also show tumor blood vessels, chest wall invasion, and lymph node metastasis of the breastbone hind, mediastinum, axilla, and around the breast. The time-signal curve should reflect hemodynamic changes in the disease status. Enhancement of benign breast tumors showed sharp edges, shape, and uniform or diffuse regions with patchy strengthening, and the DCE-enhanced time-signal intensity curve showed a continued rising type (type I) or fast-rise platform type (type II). The morphological features of malignant tumors were mostly blurred or thin spiculate outlines, irregularly shaped or with lobular symptoms, signal heterogeneity, or periph-

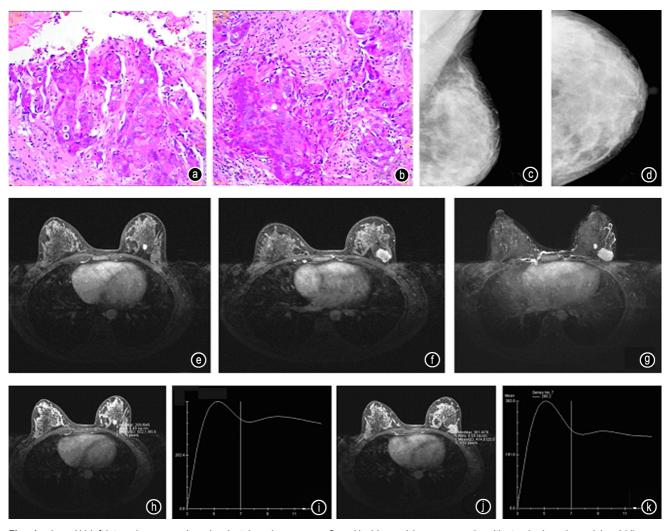


Fig. 1 (a and b) left internal mammary invasive ductal carcinoma as confirmed by biopsy; (c) mammography with standard craniocaudal and (d) mammography with mediolateral oblique showed high-density breast glands and suspicious nodules in left internal mammary, (e and f) DCE-MRI showed it was multicentric breast cancer; (g) tumor adjacent vessels thickening and increased in subtracted images; (h–k) the time-signal intensity curve showed fast rise downhill type (type III)

 Table 3
 Mammography combined with breast DCE-MRI compared with pathological results (n)

Mammagraphy apphinad	Pathologic		
Mammography combined with breast DCE-MRI	Malignant Benign		Total
WILLI DIEASL DOE-WIRL	tumors	tumors	
Positive	99	1	100
Negative	3	17	20
Total	102	18	120

eral enhancement on DCE-MRI. Since the pathological features of breast cancer were similar to those of abnormal new rich blood vessels, the DCE time-signal curve showed a fast-rise downhill type (type III) or fast-rise platform type (type II) <sup>[3-4]</sup>.

## Mammography combined with breast DCE-MRI for the early diagnosis of breast cancer

Our data showed that the sensitivity, specificity, and accuracy of only mammography were 66.67% (68/102), 77.78% (14/18), and 68.33% (82/120), respectively. However, when using DCE-MRI combined with mammography, the sensitivity, specificity, and accuracy of the differential diagnosis of benign and malignant lesions were found to be improved [97.06% (99/102), 94.44% (17/18), 96.67% (116/120), respectively; Table 3]. All 28 patients for whom the mammography image of the mammary glands were unclear were examined by DCE-MRI, which clearly showed small lesions within the glands, and malignancy of these lesions can be diagnosed through analysis of the image and the time-signal intensity curve. As shown in the Fig. 1 case of left internal mammary invasive ductal

carcinoma, as confirmed by biopsy, showed high-density breast glands and suspicious nodules in the left internal mammary gland on mammography. Further examination by DCE-MRI showed multiple enhancement sites, abundant blood supply, and the fast-rise downhill type (type III), which indicate multicentric breast cancer. Six cases of intraductal cancer in this set of data showed clustered calcification on molybdenum photography, which is a manifestation of early-stage cancer, while contract-enhanced MRI only showed patchy enhancement; based on these results breast cancer was diagnosed.

#### Discussion

Mammography is an accurate technique, relatively inexpensive, and convenient, and therefore, is an important method used for diagnosis of breast cancer. When aided by a computer, its resolution and calcification detection rate can be further improved. Calcification within the breast and simple clustered calcification are important early signs of breast cancer and may sometimes be the sole sign of malignancy [5]. Liang HM et al [6] reported that molybdenum photography is unparalleled by any other imaging method for the detection of calcification, but it also has limitations. For example, lesions may be overlapped by breast glands when the latter are abundant, in which case the entire lesion may be partially concealed or false-negative are also possible; deep- and high-position lesions as well as small lesions within high-density breast glands may be overlooked [7-8]. For patients with prosthesis implants, misdiagnosis can be a problem since only limited pressure can be applied to the breast. In such cases, MRI can be used for accurate diagnosis. DCE-MRI can effectively reveal the detailed structure of the lesions, and show high sensitivity and accuracy for early diagnosis of breast cancer [94.12% (96/102) and 93.33% (112/120), respectively, for this set of data]. These features are especially useful for detection of lesions in cases with multicentric breast cancer, which helps in the designing of operations <sup>[9]</sup>. In addition, it also reveals the hemodynamic features of the lesions noninvasively, which greatly aids in the diagnosis of malignancy. However, MRI is not very effective in detecting lesion calcification, takes a long time, generates noise, requires complete cooperation of the patients, and is an expensive technique. Therefore, currently, MRI cannot completely replace mammography as a common method for breast cancer screening. These data indicate that combined use of mammography and contrast-enhanced MRI can effectively improve the sensitivity, specificity, and accuracy of early diagnosis of breast cancer. Therefore, for cases with an indefinite diagnosis after mammography and when a detailed structure of the lesion needs to be clarified, DCE-MRI can be performed to aid diagnosis and development of a more a detailed treatment plan. Yang L *et al* <sup>[10]</sup> reported that mammography is more effective for the detection of calcified ductal carcinomas, thereby suggesting that despite the advantages of enhanced MRI, mammography features should also be considered to improve the accuracy of the diagnosis of ductal breast cancer. These results suggest that mammography and DCE-MRI should be used in combination for the early diagnosis of breast cancer.

#### **Conflicts of interest**

The authors indicated no potential conflicts of interest.

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#### ORIGINAL ARTICLE

## The accuracy of magnetic resonance imaging and ultrasound in evaluating the size of early-stage breast neoplasms

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Abstract	<b>Objective</b> Breast cancer is the most frequently diagnosed cancer in women. Accurate evaluation of the size and extent of the tumor is crucial in selecting a suitable surgical method for patients with breast cancer. Both overestimation and underestimation have important adverse effects on patient care. This study aimed
	to evaluate the accuracy of breast magnetic resonance imaging (MRI) and ultrasound (US) examination for measuring the size and extent of early-stage breast neoplasms.
	<b>Methods</b> The longest diameter of breast tumors in patients with $T_{1-2}N_{0-1}M_0$ invasive breast cancer preparing for breast-conserving surgery (BCS) was measured preoperatively by using both MRI and US and their accuracy was compared with that of postoperative pathologic examination. If the diameter difference was
	within 2 mm, it was considered to be consistent with pathologic examination. <b>Results</b> A total of 36 patients were imaged using both MRI and US. The mean longest diameter of the tu- mors on MRI, US, and postoperative pathologic examination was 20.86 mm $\pm$ 4.09 mm (range: 11–27 mm), 16.14 mm $\pm$ 4.91 mm (range: 6–26 mm), and 18.36 mm $\pm$ 3.88 mm (range: 9–24 mm). US examination
	underestimated the size of the tumor compared to that determined using pathologic examination ( $t = 3.49$ , $P < 0.01$ ), while MRI overestimated it ( $t = -6.35$ , $P < 0.01$ ). The linear correlation coefficients between the image measurements and pathologic tumor size were $r = 0.826$ ( $P < 0.01$ ) for MRI and $r = 0.645$ ( $P < 0.01$ )
	for US. The rate of consistency of MRI and US compared to that with pathologic examination was 88.89% and 80.65%, respectively, and there was no statistically significant difference between them ( $\chi^2$ = 0.80, $P > 0.05$ ).
Received: 20 January 2016 Revised: 24 March 2016	<b>Conclusion</b> MRI and US are both effective methods to assess the size of breast tumors, and they maintain good consistency with pathologic examination. MRI has a better correlation with pathology. However, we should be careful about the risk of inaccurate size estimation.
Accepted: 25 May 2016	Key words: breast neoplasm; magnetic resonance imaging (MRI); ultrasound; pathology

Breast cancer is the most frequently diagnosed cancer in women and also the leading cause of cancer-related death worldwide. It accounted for 23% of the total new cancer cases and 14% of the total cancer-related deaths in 2008 <sup>[1]</sup>. With increasing attention, improvements in diagnosis methods, and widespread adaption of screening programs, an increasing number of patients with earlystage breast cancer are being diagnosed.

Large-scale randomized trials have shown that breastconserving surgery (BCS) is a safe surgical procedure for early-stage breast cancer patients and it results in locoregional control similar to that of radical mastectomy <sup>[2]</sup>. Usually, BCS requires the complete removal of the tumor with a negative margin. The tumor extent is limited to less than 3 cm in order to acquire a negative margin and achieve an acceptable cosmetic result. Therefore, an accurate evaluation of the size and extent of the tumor is crucial in selecting the most appropriate surgical method for patients with breast cancer.

Both overestimation and underestimation have important adverse effects on patient care. Overestimation can result in unnecessary over-resection of normal breast tissues and thus undermine the subsequent cosmetic results of BCS and might even result in the selection of a mastec-

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tomy when BCS might still have been possible. Underestimation may result in inadequate surgery and thus increase the risk of a positive margin and local failure even after postoperative radiation.

The preoperative assessment of tumor extent in clinical practice is generally performed by physical examination, mammography, ultrasound (US), or magnetic resonance imaging (MRI). Compared to traditional imaging methods such as mammography and US, breast MRI is more sophisticated and more expensive, but its proper indications are yet to be well understood. The primary goal of this study was to evaluate the accuracy and consistency rate of breast MRI and US in measuring the extent of early-stage infiltrating breast cancer with postoperative pathologic examination used as the gold standard.

#### **Materials and methods**

#### Patient selection and ethics statement

Patients admitted to the Second Affiliated Hospital, Xi'an Jiaotong University (China) from January 2010 to December 2011 with  $T_{1-2}N_{0-1}M_0$  invasive breast cancer that had been proved histologically by core needle biopsy and who wished to receive BCS and were assessed by breast MRI and US concomitantly before surgery were included. All of the patients underwent BCS successfully. Their clinical and pathological characteristics are listed in Table 1. This study was approved by the Institutional Review Board of the Second Affiliated Hospital of Xi'an Jiaotong University. Written informed consent was obtained at the time of recruitment from all patients involved in the study.

#### Images and pathologic assessment

All of the assessments were performed by two physicians in our hospital who both have more than 10 years' experience in performing US and MRI. They had no access to the clinical data of the patients.

Breast US examinations were performed by using high-frequency transducers (12–15 MHz) and a breastdedicated imaging preset that was routinely extended to the axillary nodes. The findings were depicted and classified using the Breast Imaging Reporting and Data System (BI-RADS) for US. The longest diameter of the tumors was measured and depicted.

Breast MRI examinations were performed with a 3.0 T high-field MRI device, using a breast-dedicated bilateral surface coil, with the patient in the prone position. The longest diameter of the tumor was calculated in the postcontrast images generated by dynamic evaluation according to the BI-RADS system for MRI.

Breast cancer diagnosis was made pathologically by preoperative core needle biopsy guided by US. The fol-

Table 1 The clinicopathological features of the patients with breast cancer

Clinican athelesical factures	Pa	tients
Clinicopathological features	п	%
Age (years)		
≤ 35	9	25.00
> 35	27	75.00
T stage		
T1	25	69.44
T2	11	30.56
ALN status		
Negative	31	86.11
Positive	5	13.89
Histology type		
Infiltrating ductal cancer	27	75.00
Infiltrating lobular cancer	4	11.11
Others	5	13.89
Histology grade		
Grade 1	10	27.78
Grade 2	19	52.78
Grade 3	7	19.44
ER		
Negative	15	41.67
Positive	21	58.33
PR		
Negative	17	47.22
Positive	19	52.78
HER2		
Negative	6	16.67
Positive	30	83.33

lowing parameters were evaluated on specimens from the core needle biopsy. The pathologic tumor diameter examinations from the resected tissue were assessed after surgery before paraffin fixation and the final pathologic tumor diameter was obtained from the pathology report provided by the Pathology Department.

#### **Statistical analysis**

The differences of means of the longest diameter measured by MRI, US, and the pathology of the tumor were calculated. Differences between the three techniques were tested by the paired-T test. If the diameter difference between the imaging method and the pathological method was less than 2 mm, the two methods were deemed consistent. The difference between the consistency rate of MRI and US and that of pathological examination was assessed by using the McNemar test. Correlations between the longest diameters as measured by MRI and pathology and between US and pathology were measured using a linear correlation coefficient. P values < 0.05 were considered statistically significant.

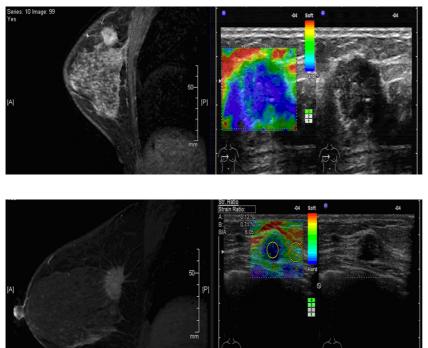


Fig. 1 T size measured by MRI and US was consistent

Fig. 2 T size measured by MRI and US was inconsistent

 Table 2
 The differences between MRI and US and pathology in measuring the breast neoplasms

Examination	Mean (mm)	Standard deviation (mm)	t	P value
Pair 1				
MRI	20.86	4.09	3.49	0.001
Pathology	18.36	3.88	5.49	0.001
Pair 2				
US	16.14	4.91	-6.355	0.000
Pathology	18.36	3.88	-0.555	0.000

 Table 3
 The consistency rate of breast MRI and US with pathology in measuring the breast neoplasms

	US		Tatal	.2	Dualua
MRI	Consistent	Inconsistent	Total	$\chi^2$	P value
Consistent	28	4	32		
Inconsistent	1	3	4	0.8	0.375
Total	29	7	36		

#### Results

#### Results of MRI, US, and pathology for measuring the breast neoplasms

The mean tumor diameters assessed by MRI, US, and pathologic examination were 20.86 mm  $\pm$  4.09 mm (range: 11–27 mm), 16.14 mm  $\pm$  4.91 mm (range: 6–26 mm), and 18.36 mm  $\pm$  3.88 mm (range: 9–24 mm), respectively. The US examination underestimated the size of the tu-

mor compared with pathologic examination (t = 3.49, P < 0.01), while MRI overestimated it (t = -6.35, P < 0.01) (Table 2).

#### Comparison of the consistency rate of breast MRI and US with pathology in measuring the breast neoplasms

The size measured by pathology was considered to be the gold standard. If the difference of diameter between the imaging method and the pathologic method was within 2 mm, it was considered to be consistent with pathology. The size of tumors measured by MRI and US preoperatively was categorized as consistent or inconsistent (Fig. 1 and 2).

The consistency rate of MRI and US with pathology was 88.89% and 80.65%, respectively, and the difference of consistency between MRI and US had no statistical significance (P > 0.05) (Table 3).

#### The correlation between the imaging and pathologic methods of measuring the size of breast neoplasms

The correlation coefficient between MRI and pathology in measuring the size of breast tumors was 0.826 (P< 0.01) and for US and pathology it was 0.645 (P < 0.01). The correlation of MRI to pathology was better than that of US (Fig. 3 and 4).

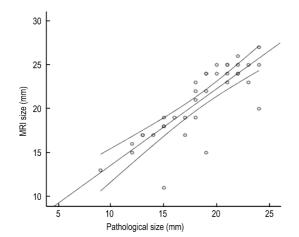


Fig. 3 The correlation between MRI and pathological size

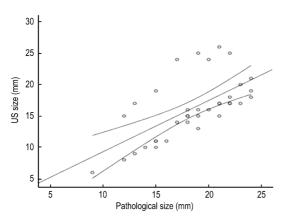


Fig. 4 The correlation between US and pathological size

#### Discussion

An accurate measurement of tumor size and extent is of key importance to evaluate the possibility of breast conservation and to optimize cosmetic results. In fact, a precise evaluation of a tumor allows correct preoperative planning, with appropriate selection of patient candidates for conservative surgery and a reduced chance of a positive margin, thereby improving local control and the cosmetic effect to the greatest extent.

Imaging techniques, such as mammography, US, and MRI, are widely used in the diagnosis and evaluation for treatment of breast cancer and have their own specific characteristics. In 2002, Kolb *et al* <sup>[3]</sup> showed breast US improved the sensitivity (97% versus 74%) when used adjunctively with mammography compared to physical examination and mammography. Breast MRI is frequently used as a complementary method to mammography for screening high-risk patients.

In addition to its superiority for the accurate evaluation of the extent of breast tumors, because it is widely available, noninvasive, and relatively inexpensive, US has been shown to produce relatively satisfactory results in clinical practice <sup>[4]</sup>. However, US is easily affected by breast density and subjective factors. Van *et al* <sup>[5]</sup> reported that 2-dimensional US usually underestimated the size of breast tumors because breast cancer often presents with an infiltrating growth pattern, and when the acoustic impedance between these two kinds of tissues is similar or the difference is not large enough to discriminate using US, 2-dimensional US may suggest a smaller size compared to the size measured by pathologic examination.

In the present study, the mean tumor diameter assessed by US and pathologic examination was 16.14 mm  $\pm$  4.91 mm (range: 6–26 mm) and 18.36 mm  $\pm$  3.88 mm (range: 9–24 mm), respectively, and the linear correlation between the US measurement and pathologic size was r = 0.645 (P < 0.01). If the difference between these two methods was less than 2 mm, it was regarded as consistent. The consistency rate of US compared to that of pathologic measurements was 80.65%, and US showed a tendency to underestimate the true size compared to that determined using pathologic measurements (t = 3.49, P < 0.01), suggesting that US is an effective method to evaluate the extent of a breast tumor, consistent with other reports [4-6]. Some authors have suggested that contrast-enhanced US may be more accurate in identifying the true size because breast cancer is neoangiogenesis-dependent and has more vessels than do benign tumors and normal breast tissue <sup>[5-6]</sup>. However, owing to limited evidence, this technique needs large-scale randomized experiments to confirm its superiority.

MRI has advantages of being multi-parameter, multisequenced, and multi-dimensional, and it has a higher sensitivity. It is not limited by the location, size, or the breast density. Therefore, MRI has advantages over mammography and US in determining the invasive tumor size, identifying multifocality, and evaluating intraductal components. Because of these advantages, this technique has been claimed to be the ideal tool to diagnose breast cancer and assess tumor extent in recent years, and it is believed that MRI may be able to more exactly identify margins to improve local control.

In this study, a cohort preparing for BCS was examined by MRI and US concomitantly and standardized by pathologic findings. The mean tumor diameters assessed by MRI, US, and pathologic examination were 20.86 mm  $\pm$  4.09 mm (range: 11–27 mm), 16.14 mm  $\pm$  4.91 mm (range: 6–26 mm), and 18.36 mm  $\pm$  3.88 mm (range: 9–24 mm), respectively, and the linear correlation between the image measurement and pathologic size was r = 0.826 (P < 0.01) for MRI and r = 0.645 (P < 0.01) for breast US. Although both MRI and US had a good accuracy and the difference in the consistency rate compared with pathology had no statistical significance (88.89% versus 80.65%, P > 0.05), MRI seemed to be slightly superior (r = 0.826 versus r = 0.645). We also found that MRI displayed a tendency to overestimate the size of the tumor (t = -6.35, P < 0.01), while US showed a tendency to underestimate the true size compared with pathologic measurements (t = 3.49, P < 0.01).

However, because it is more sophisticated and expensive, the wide-spread use of MRI in the evaluation of breast masses before BCS remains controversial <sup>[7]</sup>. Turnbull *et al* <sup>[8]</sup> reported the addition of MRI to conventional assessment such as clinical palpation, mammography, and US was not associated with a significant reduction in the re-excision rate. Onesti *et al* <sup>[9]</sup> reported that the MRI-assessed size showed a good correlation coefficient (r=0.65) compared with pathological size, while US measurements showed a worse correlation (r = 0.47) compared with pathologic size.

If we define a difference < 5 mm as concordance, MRI overestimates 35% of breast lesions, especially tumors with a diameter > 20 mm, regardless of the histological type of breast cancer. However, some studies have proposed that some patients (not all of them) may benefit from the addition of MRI before BCS<sup>[10]</sup>, especially for those with invasive lobular carcinoma.

Multiplicity is the predisposing factor in local recurrence after BCS. MRI has been thought to be the most sensitive method of detecting multiplicity, but in the study by Choi *et al*<sup>[11]</sup>, US was the most sensitive method in comparison with MRI and 18-fluorodeoxyglucose positron emission tomography/computed tomography in detecting primary lesions and evaluating multiplicity. Some authors have suggested that MRI can more clearly show the tumor boundary, especially the boundary of the tumor and the surrounding breast tissue after neoadjuvant chemotherapy, in invasive lobular carcinoma and ductal carcinoma in situ, and thus, MRI is superior to US.

In the present study, compared with the size on pathologic examination, although MRI showed a better correlation than that of US (r = 0.826 versus r = 0.645) the difference in the consistency rate between MRI and US compared with pathologic examination showed no statistical difference. Perhaps due to the few cases of invasive lobular carcinoma and ductal carcinoma in situ in this cohort, MRI did not display its superiority. Some authors have suggested MRI shows an extreme advantage over US in assessing the residual tumor extent after neoadjuvant chemotherapy. Perhaps in more sophisticated circumstances, MRI can display its advantages more thoroughly. However, in assessing normal palpable breast cancer, its advantages over US are limited.

#### Conclusion

In summary, these data suggest that MRI and US correlate well with pathology in assessing the extent of breast cancer, and no statistical difference was found in the consistency rate between MRI and US. Furthermore, US showed a tendency of underestimation while MRI showed a tendency of overestimation compared with pathologic examination.

#### **Conflicts of interest**

The authors indicated no potential conflicts of interest.

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#### ORIGINAL ARTICLE

## Clinical significance of HBME-1, Galectin-3, and CK19 expression and the status of BRAF mutation in papillary thyroid carcinoma

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Abstract	Objective The aim of this study was to explore the clinical significance of the expression of proteins
	human bone marrow endothelial cell markers (HBME-1), Galectin-3, and cytokeratin19 (CK19), as well as the status of v-raf murine sarcoma viral oncogene homolog B1 ( <i>BRAF</i> ) mutation in papillary thyroid carcinoma (PTC).
	<b>Methods</b> Immunohistochemical staining was performed in 82 specimens each of PTC and papillary be- nign lesions to detect the expression of HBME-1, Galectin-3, and CK19. Polymerase chain reaction (PCR) and gene sequencing were performed on 60 specimens each of PTC and papillary benign lesions to detect the status of <i>BRAF</i> mutation.
	<b>Results</b> The positive expression ratios of HBME-1, Galectin-3, and CK19 in PTC were 98.8%, 97.6% and 100% respectively, which were significantly higher than the expressions in papillary benign lesions ( $P < 0.05$ ). No significant relationship was observed between the expression of these makers and the clinicopathological features of PTC. The sensitivity of co-expression of HBME-1 and CK19 or HBME-1 and Galectin-3 as diagnostic criteria of PTC was 99.9%, with a specificity of 95.4%. <i>BRAF</i> mutation was detected in 40 of 60 PTC (66.7%) specimens. There was a statistical difference in <i>BRAF</i> mutations between PTC and papillary benign lesions ( $P < 0.05$ ); there were no associations between <i>BRAF</i> mutation and the clinicopathological features of PTC.
Received: 13 February 2016 Revised: 13 April 2016 Accepted: 25 May 2016	<ul> <li>Conclusion Combined immunohistochemical staining of HBME-1, Galectin-3, and CK19 can further improve the sensitivity and specificity of differential diagnosis of PTC. <i>BRAF</i> mutation is a significant genetic event, which may have diagnostic value for PTC.</li> <li>Key words papillary thyroid carcinoma (PTC); human bone marrow endothelial cell markers (HBME-1); Galectin-3; cytokeratin19 (CK19); v-raf murine sarcoma viral oncogene homolog B1 (<i>BRAF</i>)</li> </ul>

The pathological diagnosis of papillary carcinoma thyroid (PTC) relies mostly on its complex papillary structure and typical nuclear features. Some benign thyroid lesions may also be accompanied by "real papillary structure," which is similar to PTC and hence difficult to distinguish from it. Nuclear features of follicular type of papillary carcinoma are unconspicuous and easily confused with follicular adenoma. In the last few years, the molecular markers Galectin-3, cytokeratin19 (CK19), and human bone marrow endothelial cell markers (HBME-1), or their combinations, have been suggested for differential diagnosis of PTC, but there remain larger controversies regarding the reliability of these markers. In addition, the relationship between the gene v-raf murine sarcoma viral oncogene homolog B1 (*BRAF*) and PTC has been increasingly implicated. Thus, our study attempts to explore the practical value of detecting and analyzing the proteins Galectin-3, CK19, and HBME-1, as well as the status of *BRAF* mutation in the diagnosis of PTC and their correlations with clinicopathological features.

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#### Materials and methods

#### **Patients and specimens**

The collected cases of thyroid surgical specimens and thyroid fresh resection specimens were archived within two phases in the Department of Pathology at the First Affiliated Hospital of Anhui Medical University, China.

The cases of thyroid surgical specimens included 82 cases each of PTC and thyroid benign lesions, which were collected from January 2009 to December 2010. The cases of PTC included 20 males and 62 females, with ages ranging from 13 to 78 years and a median age of 46 years, of which 10 were that of lymph node metastasis. The cases of thyroid benign lesions were distributed as follows: 20 follicular adenoma, 47 nodular goiter, and 15 Hashimoto's Thyroiditis, including 20 male and 62 females at the ages of 15 to 75 years, with the median age of 46 years.

The cases of thyroid fresh resection specimens included 60 cases each of PTC and thyroid benign lesions, which were collected from January 2011 to October 2012. The cases of PTC included 10 males and 50 females at ages of 16–79 years, with a median age of 49 years, of which 10 were that of lymph node metastasis. The cases of thyroid benign lesions also included 10 males and 50 females, at ages of 15–78 years, and a median age of 48 years.

#### Main reagents

Anti-human Galectin-3 McAb (ready-to-use), antihuman CK19 McAb (ready-to-use), anti-human HBME-1 McAb (ready-to-use), and the reagent kits for detection, named Envision, were purchased from Fuzhou New Biotechnology Corporation. Ltd. (China). The reagent kits for DNA extraction and PCR reagents were purchased from Shanghai Biological Engineering Corporation. Ltd. (China).

## Immunohistochemical staining for Galectin-3, CK19, and HBME-1

Following the two-step method of Envision, the positively stained specimens were compared with a known positive biopsy specimen, and the negatively stained specimens compared with specimens treated with phosphate-buffered saline instead of the antibody. We scored the percentage of positively-stained cells and intensity of the staining per biopsy in five fields, at  $400 \times$  magnification, by manual counting. The percentage of positive cells in the area of the field was scored on a 5-point scale as follows: 0, no staining; 1, less than 25% positive; 2, between 26% to 50% positive; 3, between 51% to 75% positive; and 4, more than 75% positive.

The intensity of the positive staining in the area of the field was scored as follows: Score 0: without staining, Score 1: with pale yellow staining, Score 2: with brownyellow staining, and Score 3: with sepia-yellow staining. The results were evaluated by combining two different scores as follows: -, negative-score 0; 1+, weakly positive-scores 2 and 3; 2+, moderately positive-scores 4 and 5; and 3+, strongly positive-scores 6 and 7.

## PCR and DNA sequencing for *BRAF* gene mutation

After DNA was extracted from tissue specimens according to the directions of the DNA extraction kits, the designed primers (upstream, 5'-TCATAATGCTTGCTCT-GATAGGA-3'; downstream, 5'-GGCCAAAAATT—TA-ATCAGTGGA-3') were used to amplify the DNA sequence containing *BRAF* gene mutation hot spot ( exon 15, T1799A) by PCR. The amplified products (224 bp) were verified by agarose gel electrophoresis, after which they were sent to Sangon Biotech (China) Co. Ltd. For sequencing. The mutation was verified by sequence alignment between the *BRAF* gene and products of DNA sequencing.

#### Statistical analysis

Statistical evaluations were performed by  $\chi^2$ -test and Fisher's exact test, using the SPSS 13.0 software. A *P* < 0.05 was considered statistically significant. Specificities of independent and joint detection of different protein markers were also calculated and compared for differential diagnosis of thyroid papillary carcinoma.

#### Results

#### Expression of Galectin-3, CK19 and HBME-1 and the mutation of *BRAF* gene in benign lesions and PTC

Galectin-3 protein was mainly located in the cytoplasm with nuclear occurrences observed occassionally. The positive ratio of Galectin-3 in PTC was 97.6%, with the main expression of medium seen to be above intensity. The positive ratio of Galectin-3 in thyroid benign lesions was 25.6%, with its main expression being weakly positive. The expression of Galectin-3 revealed a statistically significant difference between PTC and thyroid benign lesions (P < 0.05; Fig. 1a and Table 1).

The positive signal of CK19 was located in the cytoplasm and occassionally at the cellular membrane. The positive expression ratio of CK19 was 100%, and 81 cases showed expression with above medium intensity, and the positive expression ratio in benign lesions was 50%, with weakly positive expression. The expression of CK19 revealed a statistically significant difference between PTC and thyroid benign lesions (P < 0.05; Fig. 1b and Table 1).

The positive signal of HBME-1 was mainly located at the cellular membrane, with occassional staining at the edge of glandular lumens. The positive expression ratio in

			,	,			,	0	(	,						
	n	Galectin-3				- % -		Cł	(19		0/		HBN	ИЕ-1		_
	П	_	+	++	+++	70	_	+	++	+++	70	_	+	++	+++	%
PTC	82	2	4	11	65	97.6	0	1	11	70	100.0	1	4	26	51	98.8
TBL	82	61	18	3	0	25.6#	41	39	2	0	50.0*	77	4	1	0	6.1△
FA	20	15	4	1	0	25.0	11	8	1	0	45.0	18	1	1	0	10.0
NG	47	31	14	2	0	34.0	22	24	1	0	53.2	45	2	0	0	4.3
HT	15	15	15	0	0	0	8	7	0	0	46.7	14	1	0	0	6.7

 Table 1
 Expression of Galectin-3, CK19, HBME-1 in PTC and thyroid benign lesions (n)

Compared with markers of corresponding to PTC: \*P = 0.000, \*P = 0.000,  $\triangle P = 0.000$ , PTC = Papillary thyroid carcinoma; TBL = Thyroid benign lesions; FA = Follicular adenoma; NG = Nodular goiter; HT = Hashimoto's thyroiditis

Table 2 The relationships between the clinicopathological features and the expressions of Galectin-3, CK19, HBME-1

Itomo	n	Gale	ectin-3	D	(	CK19	₽▲	HB	ME-1	D
Items	n	n	%	- 7 .	п	%	- P=	n	%	
Sex										
Male	20	20	100.0	1 000	20	100.0		20	100.0	1 000
Female	62	60	96.8	1.000	62	100.0	—	61	98.4	1.000
AGE (years)										
< 45	46	46	100.0	0.400	46	100.0		46	100.0	0 420
≥ 45	36	34	94.4	0.190	36	100.0	—	35	97.2	0.439
Diameter (cm)										
< 2	23	23	100.0		23	100.0		23	100.0	0.007
2–4	30	28	93.3	0.169	30	100.0	_	30	100.0	0.397
> 4	29	29	100.0		29	100.0		28	96.5	
LNM										
Positive	10	10	100.0	1 000	10	100.0		10	100.0	1 000
Negative	72	70	97.2	1.000	72	100.0	-	71	98.6	1.000

▲ The positive expression ratio of CK19 was 100% in all PTC which showed no statistically comparability in the different clinicopathological features. LNM = Lymph node metastasis

PTC was of 98.8%, mostly expressed with above-medium intensity, and the positive expression ratio in benign lesions was 6.7%, all of which expressed weakly, except for one case that was moderately positive. The expression of HBME-1 revealed a statistically significant difference between PTC and thyroid benign lesions (P < 0.05; Fig. 1c, and Table 1).

## Relationships between the clinicopathological features and expression of Galectin-3, CK19, and HBME-1 in PTC

As depicted in Table 2, the expression of the three protein markers showed no statistically significant differences in different sexes, ages, tumor sizes, and with or without lymph node metastasis.

#### Comparisons of sensitivity and specificity of protein markers in diagnosis of PTC

Comparisons of the sensitivity and specificity of Galectin-3, CK19 and HBME-1 in differential diagnosis as well as combining HBME-1 (the highest specificity) with other expressions revealed that the results of combined Galectin-3, CK19, and HBME-1, and co-expression of HBME-1 and CK19 or HBME-1 and Galectin-3 had the best specificity and sensitivity in PTC diagnosis (Table 3).

### **BRAF** gene mutation status in PTC and papillary benign lesions

The *BRAF* gene T1799A mutation in exon 15 was studied in 60 cases with PTC by sequencing, and heterozygous mutation was detected in 40 out of 60 cases, yielding a mutation ratio of 66.7% (Fig. 2), whereas in the benign lesions, the ratio was 0% (Fig. 3;  $\chi^2 = 24.000$ , P < 0.05). In addition, according to the Table 4, the *BRAF* gene mutation in PTC showed no statistically significant differences

 Table 3
 The sensitivity and specificity of Galectin-3, CK19, HBME-1 and combinations in PTC diagnosis

Expressions of markers	Sensitivity (%)	Specificity (%)
Galectin-3 (+)	97.6	74.4
CK19 (+)	100.0	50.0
HBME-1 (+)	98.8	93.9
HBME-1 (+), Galectin-3 (+)	96.4	98.4
HBME-1 (+), CK19 (+)	98.8	97.0
HBME-1 (+), Galectin-3 (+), CK19 (+)	96.4	99.2
HBME-1 (+), CK19 (+) or HBME-1 (+)	99.9	95.4
, Galectin-3 (+)		

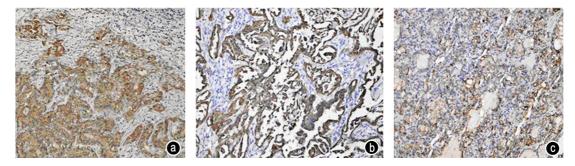


Fig. 1 Expression of Galectin-3, CK19、HBME-1 in PTC (Envision × 100). (a) Galectin-3; (b) CK19; (c) HBME-1

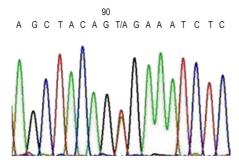


Fig. 2 Heterozygous mutation of BRAF gene in PTC

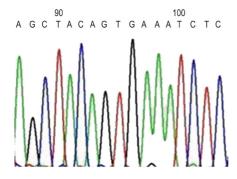


Fig. 3 Nodular goiter without BRAF gene mutation

in different sexes, ages, tumor sizes, and with or without lymph node metastasis.

#### Discussion

Galectin-3 is a  $\beta$ -galactoside-binding protein, which may be involved in cell growth, cell adhesion, inflammation, immune regulation, and cell apoptosis <sup>[1]</sup>, and some studies had shown that Galectin-3 is also involved in processing of many neoplastic formations and transformation, such as colon cancer <sup>[2]</sup> and PTC <sup>[3-5]</sup>. In this study, the positive expression ratio of Galectin-3 in PTC was significantly higher than in thyroid benign lesions, which was consistent with previous reports <sup>[6-7]</sup>. However, Galectin-3 had low specificity in distinguishing PTC

 
 Table 4
 The relationships between BRAF mutation and the clinicopathological features

patriological leature	53			
Items	п	Positive expression	%	Р
Sex				
Male	10	6	60.0	0.000
Female	50	34	68.0	0.903
Ages (years)				
< 45	24	7	58.3	0.264
≥ 45	36	33	72.2	0.204
Diameter (cm)				
< 2	35	27	77.1	
2–4	20	10	50.0	0.114
> 4	5	3	60.0	
LNM				
Positive	10	7	70.0	1.000
Negative	50	33	66.0	1.000

and thyroid benign lesions, making it difficult to diagnose PTC independently, while the intensity of positive expression in thyroid benign lesions is very weak, so the observed above-medium intensity of the positive expression has important significance. Other studies <sup>[4, 8]</sup> have shown that Galectin-3 negatively regulates metastasis and invasion of PTC cases, and lymph node metastasis was the primary means for metastasis of thyroid carcinoma. In our study of 10 cases of lymph node metastasis in PTC, we observed that there was no distinct difference with the group without lymph node metastasis, and majority of Galectin-3 still expressed positively.

CK19 is a low-molecular-weight keratin that is expressed in many epithelial tissues. Some studies <sup>[6-7, 9]</sup> have reported stronger CK19 expression in PTC, with positive ratios from 70% to 100% compared to the inconformity reports in thyroid benign lesions. Our study revealed stronger CK19 expression in PTC than in thyroid benign lesions, with a sensitivity for diagnosing PTC of 100%, but much lower specificity. This low specificity imposes limits on its diagnostic use for PTC. The independent positive expression cannot diagnose PTC, however, the above-medium intensity of positive expression has a good

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vigilance for PTC, whereas strong sensitivity of CK19 imparts a unique value to differential diagnoses for PTC, implying that negative CK19 expression can be used as an exclusive index for PTC diagnosis.

HBME-1 was widely reported <sup>[10-11]</sup> to be expressed in thyroid tumors, especially in PTC. Its use has been suggested for differential diagnosis between benign thyroid lesions and malignant thyroid tumors, but there were few domestic reports with different opinions. In our study, HBME-1 shows statistically significant differences between thyroid benign lesions and PTC with higher sensitivity and specificity. It was thus concluded that HBME-1 is a better marker for differential diagnosis of PTC.

Besides, we found that the results of pathological diagnosis can be more reliable if we combined the highest specificity of HBME-1 with Galectin-3 and CK19, and compared the co-expression of HBME-1 and CK19 or HBME-1 and Galectin-3 as a diagnostic criteria of PTC.

BRAF is a member of the RAF gene family location at 7q34, and plays an important role in the MAPK signaling pathway. Several studies [12-13] have reported that the BRAFT1799A mutation may be involved in thyroid carcinoma, and that the most mutated locus for this gene lies in exon 15 T1799A. This mutation causes the 600th valine of the protein to be replaced by glutamic acid, leading to abnormality in mediating the downstream signaling pathway. Studies have also implicated the mutation in PTC alone and in some undifferentiated carcinomas that may originate from PTC, with the mutation ratio of PTC ranging from 30% to 45%. However, such mutations have been rarely documented in domestic reports of China. Our study was similar to other studies <sup>[13–15]</sup> that revealed many cases of BRAFT1799A in PTC compared to no case in thyroid benign lesions. BRAF mutation is a significant event in PTC, which may be useful for early diagnosis of the disease; however, the BRAF mutation ratio in our study was slightly higher than that reported previously. This discrepancy can be attributed to the different backgrounds in the studies. There remain some controversies <sup>[14]</sup> to the relationship between *BRAF* gene mutation and the clinicopathological features of PTC, however, our study did not find any significant relationship between BRAF gene mutation and patients' sexes, ages, tumor sizes as well as presence or absence of lymph node metastasis.

Overall, our study suggests that combined immunohistochemical staining of HBME-1, Galectin-3, and CK19 can further improve the sensitivity and specificity of PTC diagnosis, with co-expression of HBME-1 and CK19 or HBME-1 and Galectin-3 proving to be a more potent diagnostic criteria. *BRAF* mutation is a significant genetic event, which may have diagnostic importance in PTC.

#### **Conflicts of interest**

The authors indicated no potential conflicts of interest.

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#### ORIGINAL ARTICLE

### A dosimetric evaluation of flattening filter-free volumetric modulated arc therapy for postoperative treatment of cervical cancer\*

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Abstract	<ul> <li>Objective The aim of the study was to compare flattening filter-free (FFF) beams and conventional flattening filter (FF) beams in volumetric modulated arc therapy (VMAT) for cervical cancer after surgery, through a retrospective planning study.</li> <li>Methods VMAT plans of FFF beams and normal FF beams were designed for a cohort of 15 patients. The prescribed dose was 45 Gy to 1.8 Gy per fraction, and at least 95% of the planning target volume received this dose. Doses were computed with a commercially available treatment planning system using a Monte Carlo (MC) algorithm. Plans were compared according to dose-volume histogram analysis in terms of planning target volume homogeneity and conformity indices (HI and CI), as well as organs at risk (OAR) dose and volume parameters.</li> </ul>
Received: 26 March 2016 Revised: 25 June 2016 Accepted: 25 July 2016	<b>Results</b> FFF-VMAT was similar to FF-VMAT in terms of CI, but inferior to FF-VMAT considering HI. No statistically differences were observed between FFF-VMAT and FF-VMAT in following organ at risks including pelvic bone marrow, small bowel, bladder, rectum, and normal tissue (NT) <b>Conclusion</b> For patients with cervical cancer after hysterectomy, the FFF beam achieved target and OAR dose distribution similar to that of the FF beam. Reduction of beam-on time in cervical cancer is beneficial. <b>Key words:</b> flattening filter-free (FFF); cervical cancer; dosimetry; volumetric modulated arc therapy (VMAT)

In recent years, volumetric modulated arc therapy (VMAT) has been introduced in clinical practice to overcome some of the limitations associated with fixed field intensity modulated radiation therapy (IMRT) and three-dimensional conformal radiation therapy (3DCRT). VMAT allows the continuous delivery of radiation by simultaneously varying the dose rate, the positions of the multileaf collimator (MLC), and the gantry rotation speed. Some studies demonstrated that VMAT could achieve highly conformal dose distributions, with improved target volume coverage and sparing of normal tissues, compared with conventional IMRT<sup>[1-10]</sup>. In addition, VMAT has the potential to offer additional advantages over conventional static gantry IMRT in treatment delivery efficiency, because of the reduction in both treatment delivery time and monitor units (MU) usage. More recently, linear accelerators with flattening filter-free (FFF) beams such as Varian's TrueBeam<sup>TM</sup> (Varian Medical System, Palo Alto, CA) and Elekta's Versa HD<sup>TM</sup> (Elekta Versa HD, Elekta Oncology systems, Stockholm, Sweden) were introduced into clinical operation <sup>[11–17]</sup>. FFF beams are characterized by high-dose rates, which combined with VMAT result in greater treatment efficiency compared to traditional fixed field techniques. According to these published results, a further reduced treatment delivery time and comparable plan quality seem to have been verified for VMAT plans with FFF beams.

Many studies on the application of VMAT in various tumor locations including cervical cancer usually choose 6-MV beam energy, although some researchers suggest that there is still a value to higher energies ( $\geq$  10 MV) for deep-seated pelvic/abdominal targets, as the volume

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of the target increases <sup>[18]</sup>. In addition, some studies published on FFF beams are limited to cases with relatively small planning target volume (PTV), including the prostate, lungs, larynx, chest wall, and esophagus [11, 14, 19-30]. Few studies on the dosimetric effects of the FFF beam on VMAT planning for cervical cancer after surgery have been conducted, while faster treatments could have a clinical impact on cervical cancer patients in terms of comfort on the treatment table, immobility, and minimization of internal organ status changes, such as bladder or rectum filling changes over time, as well as the reduction of intra-fractional patient motion. Therefore, we present a planning comparison of VMAT of flattening filter (FF) beams with 6-MV and 10-MV energy (6FF-VMAT and 10FF-VMAT) versus VMAT of FFF beams with 6-MV and 10-MV (6FFF-VMAT and 10FFF-VMAT) for treatment of cervical cancer after hysterectomy. The study was also motivated by the expectation that changes in nominal energy and penumbra of FFF beams may influence the dosimetric outcome for this specific deep-seated treatment location, as changes in secondary build-up may have an impact on target coverage and sparing of organs at risk (OAR).

#### Patients and methods

### Patient selection, positioning, and computed tomography

Fifteen cervical cancer patients who had been treated with postoperative radiotherapy after hysterectomy from May 2012 to November 2013 were chosen for retrospective analysis. The mean and median ages were 53.9 and 55.5 years, respectively. Computed tomography (CT) scans of all patients in the treatment position were obtained on our departmental CT scanner (Brilliance Bigbore CT, Philips Medical systems, Cleveland, OH, USA) using 5-mm slice interval and thickness. The CT scans were extended from the T11 vertebral body to mid-thigh and were imported to the Monaco planning system (version 5.0, Elekta AB, Stockholm, Sweden). Before implementing CT, a contrast agent was administered orally or intravenously. In addition, to minimize intra-fractional setup variability and maintain inter-fractional repeatability as much as possible, a custom immobilization device (Thermoplastic mold, MedTec Inc, USA) was fabricated with each patient in the treatment position. The study was approved by the ethics committee of the PLA Army General Hospital of China. All patients provided written consent for storage of their medical information in the hospital database and for research use.

#### Target volumes

The clinical target volume (CTV) and OAR for all patients were delineated by a single radiation oncologist with extensive experience in the treatment of cervical cancer on individual CT slices. Based on the ICRU 62 report [31] and some published guidelines [32, 33], the CTV included the upper one-half of the vagina and the stump, parametrial tissue, and pelvic lymph nodes. Because nonenlarged lymph nodes are poorly visualized on CT, contrast-enhanced vessels plus a 2-cm margin were used to define the common, external, and internal iliac nodal regions to the level of the L4–5 interspace. The presacral region was included to the bottom of the S3 vertebral body to ensure coverage of the presacral lymph nodes and attachment of the uterosacral ligament. The PTV was generated using a 1.0-cm uniform expansion of the CTV. The PTV mean volume in this study was  $(1368.90 \pm 644.12)$  cm<sup>3</sup>. All plans were normalized to deliver 45 Gy to 95% of PTV in 25 fractions.

#### **Critical structures**

OAR included the rectum, bladder, bowel, pelvic bone marrow (PBM) and normal tissue (NT). The rectum was defined from the level of the sacral promontory to the ischial tuberosities. The contour of the bladder in full-filling condition was delineated. The peritoneal cavity (excluding the rectum and bladder) from the level of L4–5 was used to define the small bowel region and the individual loops of small bowel were not separately contoured. The PBM comprised the lumbosacral bone marrow, iliac bone marrow, and ischium, pubis, and proximal femoral bone marrow and femoral heads. The NT was defined as the whole body volume covered by the CT scan minus the PTV.

#### **Treatment planning**

For each patient, four VMAT plans were designed using FFF and FF beams of nominal energy 6-MV (6FF-VMAT, 6FFF-VMAT) and 10-MV (10FF-VMAT, 10FFF-VMAT) photons of Elekta Versa HD<sup>TM</sup> accelerator on the Monaco planning system (version 5.1, Elekta AB, Stockholm, Sweden), respectively. VMAT plans were generated using 2 full arcs of clockwise rotation from the initial angle of 180 degrees to the end angle of 180 degrees. All plans were normalized to cover 95% of the PTV with the prescription dose using an identical set of PTV and OAR dose-volume constraints. The dose-volume constraints used for the targets and critical structures are listed in Table 1, which summarized our clinical experience while combining the guidelines of Radiation Therapy Oncology Group (RTOG) 0418, and were kept the same for all plans.

#### **Dosimetric comparisons**

The dose-volume histograms (DVH) of four types of VMAT plans were compared in terms of homogeneity index (HI), conformity index (CI), Dmax, Dmin, and Dmean

of PTV, V10, V20, V30, and V40 of the rectum (fraction of rectum volume receiving > 10 Gy, 20 Gy, 30 Gy, 40 Gy); V20, V30, and V40 of the bladder; V10, V20, V30, and V40 of the bowel; V5, V10, V20, V30, and V40 of PBM; and V10, V20, V30, and V40 of NT. The HI was defined as minimum dose in 5% of the PTV (D5) / minimum dose in 95% of the PTV (D95). Smaller values of HI correspond to more homogenous irradiation of the target volume. A value of 1 corresponds to absolute homogeneity of dose within the target. The CI reflected the degree of conformity and was defined as follows <sup>[22]</sup>: CI = the percentage of the PTV volume receiving at least prescription dose  $\times$ the ratio of the volume of the PTV receiving at least prescription dose to the total volume covered by prescription dose. The perfect conformity is 1 and the higher (closer to 1) the CI, the better the dose conformity. Dmax represents the minimum absorbed dose received by 2% of the PTV while Dmin represents the minimum absorbed dose received by 98% of the PTV [34].

#### Statistical analysis

Statistical analysis was performed using SPSS software (version 18.0, SPSS Inc., Chicago, IL, USA). Quantitative data were expressed in the form of mean  $\pm$  standard deviation ( $\overline{\chi} \pm s$ ). The statistical significance was tested using factorial design analysis of variance (ANOVA). A *P*-value  $\leq$  0.05 was considered statistically significant, and the 95% confidence intervals (CI) were calculated.

#### Results

#### **PTV** coverage

Table 2 summarizes the PTV coverage for four types of VMAT plans. Significant differences for HI were found (P= 0.039), with FF-VMAT showing better heterogeneity while CI was similar (P= 0.288, P= 0.294, and P= 0.499, respectively). In addition, Dmax also demonstrated significant differences between FFF-VMAT and FF-VMAT (P= 0.039).

### Comparison of dosimetric parameters of OAR for four modalities

Dosimetric parameters of OAR including the PBM, small bowel, bladder, rectum, and NT are listed in Table

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Table 1 Dose-volume constraints for targets and critical structures

Volume (%)	Dose (Gy)
95	45
≤ 90	10
≤ 80	20
≤ 30	25
≤ 25	30
≤ 60	40
	95 ≤ 90 ≤ 80 ≤ 30 ≤ 25

3. No significant difference was observed for V5, V10, V20, V30, and V40 of the PBM (P > 0.05); V10, V20, and V30 of the small bowel (P > 0.05); V20, V30, and V40 of the bladder (P > 0.05); V10, V20, V30, and V40 of the rectum (P > 0.05); and V10, V30, V40, and Dmean of NT (P > 0.05). Only V10 of NT showed significant difference (P = 0.039).

### Comparison of monitor units (MU) and beam-on time (BOT) for two modalities

The data of monitor units (MU) and beam-on time (BOT) are listed in Table 4. The BOT of FFF-VMAT reduced delivery time compared with FF-VMAT.

#### Discussion

It was demonstrated that for medium- and small-size targets, FFF beams might be suitable for IMRT planning and that the out-of-field dose could be significantly reduced owing to the lower contamination from head scatter, resulting in better OAR risk protection <sup>[35]</sup>. It would be important to demonstrate whether the two effects could also be confirmed for larger targets in complex anatomic situation.

Spruijt *et al*<sup>[15]</sup> compared FF and FFF beams for breast cancer using four IMRT techniques and pointed out that all four IMRT techniques allowed FFF beams to generate acceptable plans for breast cancer. Nicolini *et al*<sup>[16]</sup> carried out a feasibility study by using 6FFF-VMAT on advanced esophageal cancer and concluded that 6FFF-VMAT plans acquired minor improvements in plan quality, but with the potential for additional useful reduction in the treatment time. A study by Kretschmer *et al*<sup>[17]</sup>

Table 2 Comparison of HI, CI, Dmax, Dmin, and Dmean for 6FF-VMAT, 10FF-VMAT, 6FFF-VMAT, and 10FFF-VMAT

	6FF-VMAT (%)	10FF-VMAT (%)	6FFF-VMAT (%)	10FFF-VMAT (%)	P value
Dmax	50.45 ± 1.61	50.56 ± 1.86	51.01 ± 1.73	52.16 ± 2.56	0.220*, 0.039**, 0.310***
Dmin	43.59 ± 0.57	43.61 ± 0.50	43.65 ± 0.50	43.52 ± 0.50	0.667*, 0.917**, 0.607***
Dmean	47.95 ± 0.90	48.04 ± 1.07	48.12 ± 0.85	48.66 ± 1.16	0.233*, 0.130**, 0.394***
HI	1.11 ± 0.03	1.11 ± 0.04	1.12 ± 0.03	1.14 ± 0.05	0.194*, 0.039**, 0.294***
CI	$0.78 \pm 0.06$	$0.78 \pm 0.07$	0.78 ± 0.06	$0.75 \pm 0.06$	0.288*, 0.294**, 0.499***

\*, represents the effect of energy, \*\*, represents the effect of flattening filter; and \*\*\*, represents the interactive effect of energy and flattening filter

OARs parameters	6FF-VMAT(%)	10FF-VMAT(%)	6FFF-VMAT(%)	10FFF-VMAT(%)	P value
PBM					
V5	98.20 ± 3.22	98.78 ± 2.39	98.73 ± 2.59	98.46 ± 2.99	0.831*, 0.885**, 0.565***
V10	87.32 ± 6.07	89.69 ± 4.87	89.96 ± 4.76	89.70 ± 5.40	0.444*, 0.337**, 0.342***
V20	72.25 ± 7.50	73.59 ± 6.62	73.12 ± 6.50	73.20 ± 6.98	0.692*, 0.894**, 0.725***
V30	54.86 ± 9.67	55.73 ± 9.55	55.07 ± 9.44	56.43 ± 8.03	0.640*, 0.848**, 0.920***
V40	28.85 ± 10.40	30.03 ± 11.67	29.25 ± 10.57	30.68 ± 11.04	0.646*, 0.853**, 0.964***
Small bowel					
V10	74.66 ± 24.42	75.65 ± 24.58	76.25 ± 24.93	75.78 ± 24.67	0.967*, 0.893**, 0.909***
V20	46.51 ± 18.79	46.61 ± 17.94	46.91 ± 17.84	46.46 ± 18.53	0.970*, 0.979**, 0.954***
V30	25.44 ± 12.90	25.43 ± 12.96	25.66 ± 12.29	26.13 ± 13.16	0.945*, 0.888**, 0.943***
Bladder					
V20	86.42 ± 11.51	87.67 ± 12.14	86.67 ± 11.00	89.68 ± 10.54	0.468*, 0.700**, 0.766***
V30	59.09 ± 18.14	60.57 ± 17.90	60.37 ± 17.84	61.96 ± 17.52	0.740*, 0.773**, 0.991***
V40	39.74 ± 22.38	40.90 ± 23.87	40.26 ± 22.06	41.03 ± 23.34	0.872*, 0.957**, 0.974***
Rectum					
V10	97.71 ± 4.53	97.71 ± 4.50	97.68 ± 4.61	97.81 ± 4.42	0.955*, 0.971**, 0.957***
V20	93.61 ± 5.83	93.43 ± 6.26	93.44 ± 5.86	92.79 ± 5.88	0.788*, 0.792**, 0.880***
V30	70.65 ± 18.09	72.38 ± 17.61	71.47 ± 17.16	71.19 ± 18.26	0.875*, 0.967**, 0.827***
V40	46.13 ± 27.32	45.96 ± 27.06	46.74 ± 26.50	46.95 ± 28.69	0.998*, 0.910**, 0.978***
NT					
V10	43.93 ± 9.09	44.21 ± 8.71	44.40 ± 8.62	44.74 ± 8.74	0.220*, 0.039**, 0.310***
V20	24.52 ± 7.17	24.69 ± 6.91	24.43 ± 6.73	24.95 ± 7.01	0.864*, 0.887**, 0.889***
V30	11.71 ± 4.80	11.73 ± 4.79	11.61 ± 4.56	12.64 ± 5.15	0.706*, 0.697**, 0.638***
V40	4.55 ± 2.81	4.74 ± 3.05	4.55 ± 2.79	5.16 ± 3.25	0.642*, 0.751**, 0.755***
Dmean	11.42 ± 2.36	11.46 ± 2.37	11.48 ± 2.28	11.68 ± 2.46	0.842*, 0.813**, 0.898***

Table 3 Comparison of dosimetric parameters of OARs for four modalities

\*, represents the influence of energy on parameters; \*\*, represents the influence of flattering filter on parameters; and \*\*\*, represents the influence of interaction between energy and flattening filter on parameters

Table 4 Comparison of monitor units (MU) and beam-on time (BOT) for two techniques

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	MU	BOT (sec)
6FF-VMAT	1275.9 ± 227.4	243 ± 28
10FF-VMAT	1138.1 ± 209.1	246 ± 36
6FFF-VMAT	1724.7 ± 255.1	216 ± 26
10FFF-VMAT	1746.7 ± 272.2	206 ± 31
P value	0.358*, 0.000**, 0.207***	0.642*, 0.000**, 0.376***

\*, represents the effect of energy, \*\*, represents the effect of flattening filter, and \*\*\*, represents the interactive effect of energy and flattening filter

compared FF and FFF beam field-in-field plans in several tumor locations, including breast, neurocranium, lung, and bone metastases, and demonstrated that the exclusive use of a linear accelerator in FFF mode is feasible in 3DCRT. Bell *et al* <sup>[35]</sup> probed the used of modulated arc (mARC) technique using FFF and FF beams for prostate treatment, respectively. The conclusion was that the combination of the high dose rate with mARC appears to be the preferable option as it benefits from a marked decrease in treatment time and out-of-field dose. Bahrainy *et al* <sup>[36]</sup> investigated the influence of FFF beam on breast cancer with simultaneous integrated boost in the hybrid

plan technique and concluded that in comparison to the FF-based plan, the FFF mode allowed further reduction of the average left anterior descending artery (LAD) dose for comparable target volume coverage without adverse low-dose exposure of contralateral structures.

The purpose of our study was to compare FFF beams and conventional FF beams in VMAT of cervical cancer after surgery through a retrospective planning study, focusing on the extent of BOT reduction and feasibility of clinical use of FFF beams. Considering that the FFF-VMAT plans are not intended for clinical use, dosimetric verification was thus not presented in this work.

In our study, FFF-VMAT achieved inferior heterogeneity compared to FF-VMAT while the conformity of the modalities was similar. In terms of dosimetric parameters of OAR, no significant difference was observed for dosevolume parameters for the PBM, small bowel, bladder, rectum, and NT, excluding V10 of NT.

The increase in dose rate is one of the most obvious and attractive effects when removing the FF. The increased dose rate can translate into shorter treatment times for the same technique. The BOT in our study was approximately 11% less for 6FFF-VMAT plans and approximately 16% less for 10FFF-VMAT plans. Obviously, in terms of treatment time, patients could benefit more from the increased dose rate of the FFF beam for cervical cancer to improve comfort on the treatment table, immobility, minimize internal organ movement such as bladder or rectum filling changes over delivery, and reduce intrafractional patient motion.

#### Conclusion

For patients with cervical cancer after hysterectomy, the FFF beam achieved similar target and OAR dose distribution as the FF beam. Reduction of BOT in cervical cancer is beneficial.

#### **Conflicts of interest**

The authors indicated no potential conflicts of interest.

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#### ORIGINAL ARTICLE

### The diagnostic value of tumor abnormal protein and high sensitivity C reactive protein in screening for endometrial cancer with endometrial thickness less than 8 mm\*

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Abstract Received: 27 May 2016	<b>Objective</b> This study aimed to combine tumor abnormal protein (TAP) and high-sensitivity C-reactive protein (hs-CRP) level detection to diagnose endometrial cancer in patients with endometrial thickness less than 8 mm, and to provide a reference for clinical screening and diagnosis. <b>Methods</b> Clinical data from 19 cases of endometrial cancer, diagnosed on the basis of pathological findings, were collected from September 2014 to December 2015. The inclusion criteria were as follows: the patients were first diagnosed with endometrial thickness less than 8 mm and were all in menopause. Perimenopausal patients ( $n = 26$ ) with uterine fibroids seen during the same period were selected as a control group. Serum TAP and hs-CRP levels of the patients in the two groups were simultaneously determined on admission. <b>Results</b> We found that both TAP and hs-CRP levels in the experimental group were higher than those in the control group [(182.95 ± 72.14) µm <sup>2</sup> vs. (133.19 ± 55.18) µm <sup>2</sup> , $P = 0.019$ ; (7.52 ± 19.03) mg/L vs. (1.66 ± 2.31) mg/L, $P = 0.136$ ]. The sensitivity of TAP for the diagnosis of endometrial cancer was 73.68%, the specificity was 69.23%, and the Youden index was 0.4291. The diagnostic sensitivity and specificity of hs-CRP was 15.79% and 100%, respectively, and the Youden index was 0.1579. After plotting the receiver operating characteristics curves, the optimal cut-off value for TAP in diagnosing endometrial cancer was found to be 160.662 µm <sup>2</sup> and that for hs-CRP was 1.07 mg/L. <b>Conclusion</b> For patients suspected of having endometrial cancer with endometrial thickness less than 8 mm, combined detection of TAP and hs-CRP levels can be used as a screening tool and can provide new ideas regarding clinical diagnosis and treatment.
Revised: 14 July 2016 Accepted: 25 July 2016	<b>Key words:</b> tumor abnormal protein (TAP); high-sensitivity C-reactive protein (hs-CRP); endometrial thickness; endometrial carcinoma

Endometrial cancer is a common gynecologic malignancy. Endometrial thickness is closely related to endometrial cancer. Currently, hysteroscopic biopsy is recommended to confirm the diagnosis for patients with endometrial thickness more than 8 mm on ultrasonography <sup>[1]</sup>. For patients with endometrial thickness less than 8 mm, follow-up is recommended and unnecessary hysteroscopy should be minimized. However, there are currently no known specific endometrial cancer markers; therefore, when endometrial thicknesses of less than 8 mm is found on ultrasonography, it is difficult to objectively formulate treatment programs <sup>[2]</sup>. Previous studies have reported that endometrial cancer may express abnormal protein (TAP) <sup>[3]</sup>. However, TAP has poor diagnostic specificity. This study aimed to combine detection of TAP and high-sensitivity C-reactive protein (hs-CRP) levels to diagnose endometrial cancer in patients with endometrial thickness less than 8 mm and to provide a

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reference for clinical screening and diagnosis.

#### Materials and methods

#### Material source and grouping

Clinical data from 19 cases of endometrial cancer, ultimately diagnosed based on pathologic findings, were collected from September 2014 to December 2015. The inclusion criteria were as follows: the patients were first diagnosed with endometrial thickness less than 8 mm and were all in menopause. In terms of pathological types, 17 cases were of adenocarcinoma, 1 case was of clear cell carcinoma, and 1 case of squamous cell carcinoma. In terms of degrees of differentiation, 4 cases were of high differentiation, 12 cases of moderate differentiation, and 3 cases of low differentiation. Perimenopausal patients (n = 26) with uterine fibroids, diagnosed during the same time period on the basis of pathological findings, were selected as the control group. The serum TAP and hs-CRP levels of patients in the two groups were determined on admission.

#### Measurement method

Fasting fingertip blood samples collected in the morning from patients of each group were obtained from the pathology department. Two slides of thin blood were prepared by pushing the blood across the slide and were kept still for drying. A special dropper was used to take the TAP detection reagent (Rising Medical Technology) and vertically drop 3 drops on each slide. The blood smear was kept still for 2 hours and re-dried to form "gathering spots". The slide was put under a TAP integrated chipspecific microscope (Rising Medical Technology). The "gathering spots" on the blood slices were sequentially scanned with an achromatic objective (× 4) and measured. At the same time, aggregates with abnormal forms were searched. The gathering spot diameter was used as the basic evaluation criteria: (1) 0-121 µm<sup>2</sup> and no obvious aggregates: normal TAP; (2)  $121-225 \mu m^2$  and smaller aggregates: abnormal TAP; (3)  $\geq$  225  $\mu$ m<sup>2</sup> and relatively large aggregates: obviously abnormal TAP.

Fasting blood sample of patients in the inspection department was collected. The hs-CRP levels were measured using an immunoturbidimeter (GE automatic biochemical analyzer) according the reagent specification standards: (1) 0–10 mg/L: normal range and (2) > 10 mg/L: abnormal range.

#### Statistical methods

SPSS 17.0 was used to perform the chi-square test to detect differences between the groups for categorical data and the *t* test was used for continuous data. P < 0.05 was considered statistically significant. The diagnostic sensitivity refers to the correct determination of the propor-

Table	1	Comparisons of clinical data between two groups
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	Experimental group ( <i>n</i> = 19)	Control group ( <i>n</i> = 26)	P value
Age (years)	54.03 ± 6.01	52.23 ± 5.03	0.192
Menopausal duration	6.36 ± 3.57	5.94 ± 2.81	0.302
Gravidity	2.95 ± 1.54	2.78 ± 1.48	0.145
Parity	1.62 ± 0.92	1.57 ± 0.87	0.207
Vaginal bleeding or	18/1	25/1	0.819
liquid discharge (Yes/No)			

tion of actual patients with positive results and was calculated as: [number of true positive cases/(number of true positive cases + number of false negative cases)] × 100. The diagnostic specificity means the correct determination of the proportion of healthy people and was calculated as: [number of true negative cases/(number of true negative cases + number of false positive cases)] × 100. The Youden index is defined as the sum of sensitivity and specificity subtracted from 1. The Youden index ranges from 0 to 1, and a greater value indicates a higher diagnostic value. A receiver operating characteristic (ROC) curve was plotted to confirm the diagnostic value, which uses 1-specificity as the x-axis, and sensitivity as the y-axis. The cut-off value was obtained when the miter was 45°, and the sensitivity and specificity were good.

#### Results

No significant differences were found in age, menopausal status, pregnancy status, or clinical symptoms between the experimental and control groups, indicating that the indexes were comparable (Table 1).

The expression levels of TAP in the experimental group and control group were (182.95 ± 72.14)  $\mu$ m<sup>2</sup> and (133.19 ± 55.18)  $\mu$ m<sup>2</sup>, respectively (*P* = 0.019). Fig. 1–3 show the expression levels of TAP in different pathological diagnoses. The expression levels of hs-CRP in both groups were (7.52 ± 19.03) mg/L and (1.66 ± 2.31) mg/L, respectively (*P* = 0.136).

By using recommended laboratory cutoff values as a standard, the sensitivity and specificity of TAP for the diagnosis of endometrial cancer were 73.68% and 69.23%, respectively, and the Youden index was 0.4291. The diagnostic sensitivity and specificity of hs-CRP were 15.79% and 100%, respectively, and the Youden index was 0.1579. The sensitivity and specificity of the combination of the two indexes were 77.83% and 69.23%, respectively, and the Youden index increased to 0.4706. However, using TAP and hs-CRP in a binary logistic regression analysis, the results showed that a positive result for TAP had a statistically significant predictive value for endometrial cancer (P = 0.014), but a positive result for hs-CRP had no statistical significance (P = 0.167). This illustrates that



Fig. 1 Qi, uterine fibroids and a TAP level of 92.793 µm<sup>2</sup>

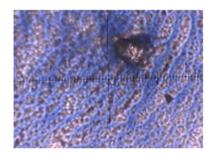


Fig. 2 Wan, moderate differentiated endometrial adenocarcinoma and a TAP level of 197.675  $\mu\text{m}^2$ 

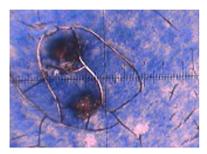


Fig. 3 Wang, poorly differentiated endometrial adenocarcinoma and a TAP level of 256.879  $\mu m^2$ 

a positive TAP result has a high predictive diagnostic value.

The ROC curves were used to analyze the screening and diagnostic value of TAP and hs-CRP for endometrial

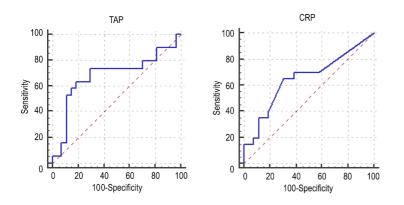


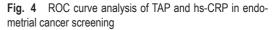
 Table 2
 ROC curve analyzing the diagnostic values of TAP and hs-CRP

ROC curve parameters         TAP         hs-CRP           Area under the curve (AUC)         0.678         0.651           Youden index         0.4464         0.3423           Cut-off value         > 162.662         > 1.07           Sensitivity         63.16         65.00           Specificity         81.48         69.23           P value         0.0468         0.0704			
Youden index         0.4464         0.3423           Cut-off value         > 162.662         > 1.07           Sensitivity         63.16         65.00           Specificity         81.48         69.23	ROC curve parameters	TAP	hs-CRP
Cut-off value         > 162.662         > 1.07           Sensitivity         63.16         65.00           Specificity         81.48         69.23	Area under the curve (AUC)	0.678	0.651
Sensitivity         63.16         65.00           Specificity         81.48         69.23	Youden index	0.4464	0.3423
Specificity 81.48 69.23	Cut-off value	> 162.662	> 1.07
- Frank	Sensitivity	63.16	65.00
<i>P</i> value 0.0468 0.0704	Specificity	81.48	69.23
	P value	0.0468	0.0704

cancer in patients with endometrial thickness less than 8 mm. The areas under the curve (AUCs) for TAP and hs-CRP were 0.678 and 0.651, respectively. A TAP level > 160.662  $\mu m^2$  and hs-CRP level > 1.07 mg/L indicated possible endometrial cancer (Table 2 and Fig. 4).

#### Discussion

Endometrial cancer is one of the three gynecological malignancies and is an endometrial carcinogenesis<sup>[4]</sup>. In recent years, the incidence of endometrial cancer has increased gradually. As a result, early detection of and intervention in endometrial cancer has gained increasing attention from clinicians. At present, hysteroscopic biopsy is recommended to confirm the diagnosis for patients with endometrial thickness more than 8 mm, as measured on ultrasonography. For patients with endometrial thickness less than 8 mm, regular follow-up is recommended and unnecessary hysteroscopy should be minimized if no abnormal endometrial morphological changes are found and no symptoms associated with vaginal bleeding or abdominal<sup>[5]</sup> discomfort develop over long-term follow-up. However, clinically, there are still endometrial cancer patients with endometrial thickness less than 8 mm, and the consequences of omissions in these patients could be disastrous. For primary level health-care institutions in our country, serum marker levels for endometrial cancer should be particularly evaluated during screening, diagnosis, and timely intervention of endometrial cancer with obscure endometrial thickening.



TAP detection is a one-time combined detection of a variety of abnormal tumor sugar chain glycoproteins and involves aggregations of different glycoproteins to greatly amplify cancer signaling. Studies have reported that TAP has higher diagnostic values for a variety of digestive tract cancers <sup>[6–7]</sup>, ovarian cancer <sup>[8]</sup>, and bladder cancer <sup>[9]</sup>. TAP has also been reported to be expressed in endometrial cancer <sup>[3]</sup>, with the level of TAP reflecting the endometrial cancer prognosis and recurrence <sup>[10]</sup>, and can be a suitable serum marker for endometrial cancer.

hs-CRP is a clinically commonly used serum marker for the inflammatory response and tumors. hs-CRP can be highly expressed in a variety of diseases, but lacks specificity. One study reported that the hs-CRP level of patients with endometrial cancer after surgery significantly decreased compared with that before surgery <sup>[11]</sup>, suggesting that hs-CRP may also be expressed in patients with endometrial cancer. Prior to this study, no method combined TAP and hs-CRP in screening for and diagnosing endometrial cancer.

In this study, all the included patients were first diagnosed with endometrial thickness less than 8 mm, but the diagnosis was confirmed as endometrial cancer. We found that the TAP and hs-CRP levels in the experimental group were both higher than those in the control group [(182.95  $\pm$  72.14)  $\mu$ m<sup>2</sup> vs. (133.19  $\pm$  55.18)  $\mu$ m<sup>2</sup>, P = 0.019; (7.52  $\pm$  19.03) mg/L vs. (1.66  $\pm$  2.31) mg/L, P = 0.136]. The difference between the two groups in TAP level was statistically significant. By using the laboratory recommended cutoff value as a standard, the sensitivity of TAP for the diagnosis of endometrial cancer was 73.68%, specificity was 69.23%, and the Youden index was 0.4291. The diagnostic sensitivity and specificity of hs-CRP were 15.79% and 100%, respectively, and the Youden index was 0.1579. The sensitivity and specificity of the combined diagnosis of the two indexes were 77.83% and 69.23%, respectively, and the Youden index rose to 0.4706. However, the results of binary logistic regression analysis showed that a positive TAP result had a higher predictive diagnostic value (P = 0.014) than hs-CRP (P = 0.167) did.

After plotting the ROC curves, the optimal cut-off value for TAP to diagnose endometrial cancer was found to be 160.662  $\mu$ m<sup>2</sup> and that for hs-CRP was 1.07 mg/L. The AUC of TAP was slightly higher than that of hs-CRP (0.678 vs. 0.651). Both of the indexes had moderate diagnostic value, and the diagnostic value of TAP was statistically significant. All the data suggest that TAP was more suitable for suspected positive endometrial cancer, while a negative hs-CRP result was more appropriate for excluding the diagnosis of endometrial cancer. However,

the sample size of the study was relatively small; no longterm follow-up was conducted, and larger scale clinical trials are needed to confirm the conclusions.

In summary, for patients suspected of having endometrial cancer with endometrial thickness less than 8 mm, combined detection of TAP and hs-CRP levels can be used as a screening tool and can provide new ideas for clinical diagnosis and treatment.

#### **Conflicts of interest**

The authors indicated no potential conflicts of interest.

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#### CASE REPORT

# Extramedullary skeletal muscle metastasis of glioblastoma: A case report and literature review\*

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	<ul> <li>Objective The aim of the study was to explore the clinicopathologic, immunophenotypic, and diagnostic features of extramedullary metastases of glioblastoma.</li> <li>Methods One case of extramedullary skeletal muscle metastasis of glioblastoma was studied, including the clinical, histological, and immunohistochemical features.</li> <li>Results A 24-year-old man underwent surgical resection for glioblastoma (WHO grade IV) in the left temporal parietal region followed by radiotherapy and temozolomide therapy. One year and nine months later, he developed an extramedullary skeletal muscle metastasis in L4, and the histology was remarkably different from that of the primary glioblastoma specimen. The immunohistochemical analysis also showed changes. In the metastasis, the small cells were negative for GFAP; weakly positive for S-100; and positive for nestin, NSE, and CD56, with 60% of cells positive for p53 and 40% positive for Ki-67. The giant cells showed strong positivity for GFAP and S-100, and weak expression of p53, Ki-67, nestin, NSE, and CD56. The primary glioblastoma specimen showed strong positivity for GFAP and S-100 and was negative for NSE, nestin, and CD56, with around 25% of the tumor cells positive for p53 and a Ki-67 labeling index of 20%.</li> <li>Conclusion Extraneural metastasis (ENM) is a rare complication of glial tumors and glioma stem</li> </ul>
	cells may be related to the metastasis. Since extraneural metastasis may occur in patients without
Received: 27 April 2016 Revised: 6 June 2016 Accepted: 25 July 2016	central nervous symptoms, any unusual signs during the follow-up of patients diagnosed with glioblas- toma should not be underestimated. <b>Key words:</b> glioblastoma; metastasis; histopathology

Extraneural metastasis (ENM) is a rare complication of tumors of the nervous system <sup>[1–3]</sup>. ENMs affect only 0.96% of those with tumors of the nervous system <sup>[4]</sup>. Among these, ENMs of glioblastomas are also a rare finding, and lungs and lymph nodes are particularly susceptible to extraneural spread <sup>[5]</sup>. Here we report on a patient with an extramedullary skeletal muscle metastasis from a World Health Organization (WHO) grade IV glioblastoma.

#### **Case history**

A 24-year-old man presented with headaches and vomiting. A brain magnetic resonance imaging (MRI) scan revealed a left temporal parietal occupied lesion (Fig.

1a–1c). Complete resection of the lesion was performed and pathological examination revealed a WHO grade IV glioblastoma. Subsequently, the patient was treated with TomoTherapy radiotherapy combined with temozolomide. Routine MRI scans of the brain performed every 2 months did not show any local recurrence.

Approximately 1 year and 9 months later, the patient experienced backache. An MRI scan of the lumbar vertebra showed a mass in the canalis vertebralis, spinal marrow, and right rear muscle of L4. The lesion spread backward to the bilateral accessory and spinous process and showed marked homogeneous enhancement (Fig. 1g–1j). An MRI scan of the brain showed a local recurrence (Fig. 1d–1f).

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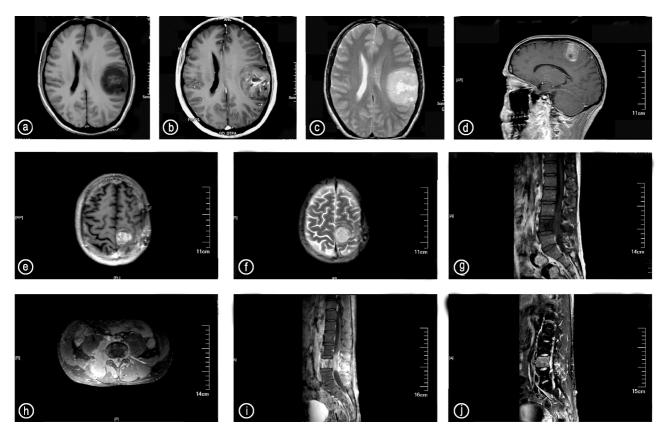


Fig. 1 MRI scans of the different tumor locations. (a–c) The tumor preoperative of brain: T1 axial MRI (a), T1-weighted axial MRI (b) and T2 axial MRI (c); (d–f) A year and nine months later, MRI scan of the brain showed a local recurrence: T1-weighted coronal MRI (d), T1-weighted axial MRI (e) and T2 axial MRI (f); (g–j) MRI scan of the lumbar vertebra showed the metastasis: T1 coronal MRI (g), T1 axial MRI (h), T1-weighted coronal MRI (i) and T2 coronal MRI (j)

Resection of the lesions in L4 was performed and we found the tumor, measuring around 10 cm  $\times$  7 cm, had invaded the endorhachis and both sides of the psoas, erector spinae, and quadratus lumborum. The tumor was diagnosed as an extramedullary skeletal muscle metastasis of a WHO grade IV glioblastoma. Subsequently, the patient received radiotherapy combined with temozolomide and irinotecan-cisplatin chemotherapy. The patient died 1 year and 3 months after surgical resection of the metastasis.

#### Pathological findings

#### Primary glioblastoma

Histopathologically, the specimen consisted of brain tissue with diffuse infiltration of atypical cells with oval pleomorphic hyperchromatic nuclei in a fibrillary background. The cells were arranged densely and demonstrated increased mitotic activity and necrosis and microvascular proliferation. Immunohistochemically, the tumor cells showed strong positivity for GFAP (almost all of the tumor cells were positive) and S-100. No positivity for mutant IDH1 (R132H), EGFR, AE1/3, CD99, CgA, Syn, NSE, LCA, nestin, CD56, or CD133 was found. About 25% of the tumor cell nuclei showed positive reactions for p53. The Ki-67 labeling index was 20% (Table 1). The pathological diagnosis of the primary tumor was glioblastoma (WHO grade IV; Fig. 2a and 2b).

#### L4 metastasis

For the submitted surgical specimen taken from L4, the histopathology showed that numerous atypical cells were infiltrated as solid nests among the skeletal muscle tissues. The tumor cells were different from those seen in the primary tumor. On hematoxylin and eosin staining, the tumor was composed of two different cell morphologies: uniform small round cells with oval nuclei and unremarkable nucleoli, and giant cells similar to glioblastoma composed of highly anaplastic giant and syncytial cells. Immunohistochemically, the staining pattern also differed between the two cell types. The small cells were negative for GFAP, weakly positive for S-100, had more cells (around 60%) positive for p53, a Ki-67 positive index of 40%, and some demonstrated positive expression of the neural stem cell (NSC) marker nestin and the neuro-

blast cell markers NSE and CD56. The giant cells showed strong positivity for GFAP and S-100 and lower expression of p53, Ki67, nestin, NSE, and CD56. All of the tumor cells were negative for mutant IDH1 (R132H), EGFR, LCA, and CD133 (Table 1). The tumor was diagnosed as an extramedullary skeletal muscle metastasis of a WHO grade IV glioblastoma (Fig. 2c–2h).

#### Discussion

Metastatic spread from a cranial primary tumor is unusual, especially for glial tumors. Possible explanations include <sup>[6]</sup>: the absence of lymphatic vessels in the brain; presence of the blood-brain barrier; survival duration in cases of malignant gliomas is too short to develop metastases; glial tumor cells may require a special metabolic environment found only in the central nervous system; and the immunological response of the host organ to glial tumor cells may prevent growth outside of the central nervous system. Neurosurgical operations, especially ventriculoperitoneal shunts and multiple craniotomies, have been suggested to increase the risk of ENMs <sup>[2, 6, 7]</sup>.

Extracranial metastases from glioblastoma have been detected for many years, with the first documented case in 1928<sup>[8]</sup>. In a meta-analysis by Lun *et al*<sup>[9]</sup>, 83 published cases of extracranial metastases from glioblastoma were found in the period from 1928 to 2009. In a single institution experience of extracranial metastasis in glioma by Amitendu *et al*<sup>[10]</sup> from the Brain Tumor Database of the

Table	1	Summary	of immunohistochemical	findings
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Antibodies	Primary tumor	Small cells in the	Giant cells in the
		metastasis	metastasis
GFAP	+++	-	+++
S-100	+++	+	+++
p53	25%	60%	10%
Ki67	20%	40%	10%
Nestin	_	++	+
CD56	_	+++	++
NSE	_	++	+
CgA	_	-	-
Syn	_	-	-
CD133	_	-	-
IDH1 (R132H)	_	-	-
LCA	_	-	-
EGFR	_	-	-
AE1/3	_	-	-
CD99	-	-	-

+++, Strong reactivity; ++, Moderate reactivity; +, Week reactivity; -, No reactivity

National Neuroscience Institute of Singapore, between September 2004 and October 2009, the incidence of metastasis was 2.7% (4 of 148 patients). Extracranial metastases have been observed almost exclusively in cases of high-grade (III and IV) gliomas, but there has been no discrimination between WHO grade III and IV tumors in published cases <sup>[2]</sup>. Metastases from well-differentiated gliomas are very uncommon <sup>[11]</sup>. Of those that metastasize extraneurally, metastases to the vertebral bodies repre-

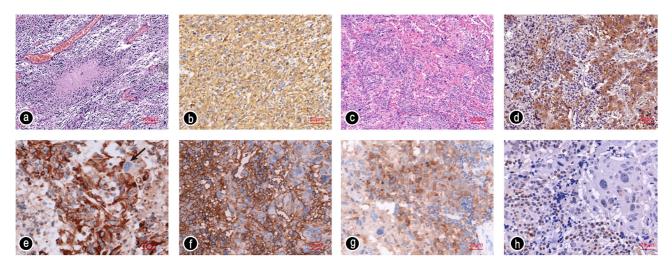


Fig. 2 Histopathological appearance of the different tumor locations. (a and b) Fist surgical brain tumor resection showing diffuse infiltration of atypical cells with oval hyperchromatic nuclei in a fibrillary background with necrosis and pathological microvascular proliferations by H & E staining (a) and strong positivity for GFAP by immunohistochemistry (b); (c–h) The surgical specimen in L4 showing the tumor was composed of two different cell morphologies: one is uniform small round cells with oval nuclei and unremarkable nucleoli; the other is composed of highly anaplastic giant and syncytial cells on H & E stain (c); Immunohistochemically, the component of small cells was negative for GFAP while the part of giant cells showed strong positivity for GFAP (d); There were more strong positive cells for nestin in small cells part than that in giant cells (e), also for CD56 (f), NSE (g) and p53 (h); The arrow showed negative expression for nestin in a giant cell

sent a significant proportion. Goodwin *et al* <sup>[12]</sup> reviewed 28 cases from the published literature of glioblastoma multiforme metastasis to the vertebra and found the mean age at presentation was 38.4 years with an average overall survival duration of 26 months. Patients were either asymptomatic with metastasis discovered at autopsy or presented with varying degrees of pain, weakness of the extremities, or other neurologic deficits. Hematogenous spreading is equally important as cerebrospinal fluid spread for ENM <sup>[13]</sup>.

In our case, although our patient underwent surgical resection followed by radiotherapy and temozolomide therapy, neither a ventriculoperitoneal shunt nor multiple craniotomies were performed, and the time to recurrence was short (only 1 year and 9 months). The intravasation of tumor cells during the intraoperative injury of blood vessels may be associated with ENM. In addition, many researchers have demonstrated that in tumor tissue the blood brain barrier is not intact, which can promote ENM <sup>[5, 7]</sup>. Furthermore, based on an admittedly small number of cases, adjuvant radiation therapy may reduce the dura tightness, facilitating transdural tumor cell migration.

Intrinsic molecular properties of tumor cells may be involved in the process of extraneural spread. The increased expression of EGFR and mutations in the p53 and IDH1 genes may play a role in ENM of glioblastomas <sup>[5, 14-16]</sup>. In our case, we found neither the primary lesion nor the metastatic tumor expressed EGFR or had mutant IDH1 (R132H) expression, but the positive expression rate of p53 and Ki-67 in the metastasis doubled in comparison with the original lesion.

Interestingly, the pathological morphology and immunohistochemical expression of GFAP, p53, and Ki-67 had changed greatly in the metastatic lesion. The primary specimen consisted of similar uniform atypical cells, which almost all showed strong positivity for GFAP. The metastatic tumor was composed of two different cell morphologies, uniform small round cells negative for GFAP expression, higher mutant p53 expression, and a higher Ki-67 labeling index; and anaplastic giant and syncytial cells with GFAP expression, less mutant p53 expression, and a lower Ki-67 labeling index. This interesting phenomenon indicated the possible existence of glioma stem cells <sup>[17, 18]</sup>.

Glioma stem cells account for a fraction of the tumor cell population, with stem cell-like properties including multi-potency, self-renewal, and tumorigenesis <sup>[19-21]</sup>. We speculated the small, uniform, round, GFAP-negative tumor cells in the metastasis might be related to glioma stem cells, and with the microenvironment and metabolic changes (from the left temporal parietal to extramedullary skeletal muscle), this group of cells differentiated into GFAP-positive giant tumor cells that were different from the primary lesion. Further research including immunohistochemical analysis with the neural stem cells marker nestin <sup>[22–24]</sup> and neuroblast cell markers NSE and CD56 showed the small cells were positive for nestin, NSE, and CD56, while the giant cells showed weaker expression of these markers.

#### Conclusion

ENM of glioblastoma is extremely rare. Because patient survival has increased these days, the incidence of this atypical presentation is likely to increase. Because ENM may occur in patients with a short disease history and without any central nervous symptoms, any unusual symptom occurring during the follow-up of these patients should not be underestimated. The best treatment for these tumors is excision of the mass followed by radiotherapy and chemotherapy.

#### **Conflicts of interest**

The authors indicated no potential conflicts of interest.

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